Edgar Filing: SUN MICROSYSTEMS, INC. - Form 3

SUN MICROSYSTEMS, INC. Form 3 September 15, 2006 UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1 3.1

MCCABE EUGENE G			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol SUN MICROSYSTEMS, INC. [SUNW]				
· · · ·	, ,	nuule)	09/05/2006	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
4150 NETWORI	reet)			Director X Officer (give title below	all applicable) 10% (Other v) (specify belo d Wide Operati	w)	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 	
(City) (Sta	ate) (Zip)	Table I - N	lon-Derivat	ive Securiti	es Bei	neficially Owned	
1.Title of Security (Instr. 4)			2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	1	
Common Stock			87,442 <u>(1)</u>		D	Â		
Reminder: Report on owned directly or inc	-	ine for eac	ch class of securities benefic	ially S	EC 1473 (7-02))		
	information required t	on contai to respor	ond to the collection of ined in this form are not nd unless the form displ IB control number.					
Table	II - Derivat	ive Securi	ities Beneficially Owned (e	.g., puts, calls,	warrants, opt	ions, c	onvertible securities)	

1. Title of Derivative	Date Exercisabl	3. Title and Amount of		4.	5.	6. Nature of	
Security	Expiration Date		Securities U	nderlying	Conversion	Ownership	Indirect Beneficial
(Instr. 4)	(Month/Day/Year)		Derivative S	Security	or Exercise	Form of	Ownership
			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Data Essentiable	Expiration Date	Title	A	Derivative	Security:	
	Date Exercisable		Title	Amount or	Security	Direct (D)	
				Number of		or Indirect	

OMB APPROVAL

OMB 3235-0104 Number: January 31, Expires: 2005 Estimated average burden hours per 0.5 response...

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				Shares		(I) (Instr. 5)	
Employee Stock Option (Right to Buy) $\frac{(2)}{2}$	11/10/2000(3)	11/10/2007	Common Stock	240,000	\$ 28.0782	D	Â
Employee Stock Option (Right to Buy)	04/12/2001 <u>(3)</u>	04/12/2008	Common Stock	26,000	\$ 40	D	Â
Employee Stock Option (Right to Buy) (2)	10/11/2001 <u>(3)</u>	10/11/2008	Common Stock	40,000	\$ 50.9375	D	Â
Employee Stock Option (Right to Buy) (2)	04/18/2002(3)	04/18/2009	Common Stock	18,000	\$ 18.58	D	Â
Employee Stock Option (Right to Buy) $\frac{(2)}{2}$	06/13/2002 <u>(3)</u>	06/13/2009	Common Stock	102,000	\$ 16.25	D	Â
Employee Stock Option (Right to Buy) $\frac{(2)}{2}$	09/27/2002 <u>(3)</u>	09/27/2009	Common Stock	18,000	\$ 7.91	D	Â
Employee Stock Option (Right to Buy) (2)	11/07/2002 <u>(3)</u>	11/07/2009	Common Stock	25,000	\$ 12.59	D	Â
Employee Stock Option (Right to Buy) (2)	11/07/2002 <u>(3)</u>	11/07/2009	Common Stock	25,000	\$ 12.59	D	Â
Employee Stock Option (Right to Buy) $\frac{(2)}{2}$	03/19/2003 <u>(3)</u>	03/19/2010	Common Stock	200	\$ 9.14	D	Â
Employee Stock Option (Right to Buy) (2)	03/19/2003 <u>(3)</u>	03/19/2010	Common Stock	18,000	\$ 9.14	D	Â
Employee Stock Option (Right to Buy) (2)	05/14/2003 <u>(3)</u>	05/14/2010	Common Stock	20,000	\$ 7.07	D	Â
Employee Stock Option (Right to Buy) (2)	07/25/2003(4)	07/25/2010	Common Stock	70,000	\$ 3.7	D	Â
Employee Stock Option (Right to Buy) $\frac{(2)}{2}$	01/14/2004(5)	01/14/2013	Common Stock	100,000	\$ 3.9	D	Â
Employee Stock Option (Right to Buy) $\frac{(2)}{2}$	07/23/2004 <u>(6)</u>	07/23/2013	Common Stock	360,000	\$ 3.85	D	Â

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Employee Stock Option (Right to Buy)	07/29/2005 <u>(7)</u>	07/29/2012	Common Stock	250,000	\$ 3.79	D	Â
Employee Stock Option (Right to Buy) (2)	04/28/2006 <u>(8)</u>	04/28/2013	Common Stock	250,000	\$ 3.44	D	Â
Employee Stock Option (Right to Buy)	07/28/2006 <u>(9)</u>	07/28/2013	Common Stock	300,000	\$ 3.85	D	Â
Employee Stock Option (Right to Buy)	07/27/2007(10)	07/27/2014	Common Stock	400,000	\$ 4.26	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
MCCABE EUGENE G 4150 NETWORK CIRCLE SANTA CLARA, CA 95054	Â	Â	EVP, World Wide Operations	Â			
Cignoturoo							

Signatures

/s/ Eugene G. McCabe	09/15/2006		
<u>**</u> Signature of Reporting Person	Date		

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This includes 82,750 shares of unvested restricted stock.
- (2) This option was granted under the Sun Microsystems, Inc. 1990 Long-Term Equity Incentive Plan.

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