Edgar Filing: Rubicon Technology, Inc. - Form 4

Rubicon Tech	nnology, Inc.											
Form 4												
May 14, 2008	3											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION										OMB APPROVAL		
	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287			
Check this box									Expires:	January 31,		
if no longe subject to	STATE	MENT O	F CHAN	GES IN H	BENEFI	CIA	LOW	NERSHIP OF	Estimated a	2005		
Section 16. SECU				SECUR	CURITIES				burden hou	0		
Form 4 or									response	•		
Form 5	Filed p	ursuant to S	Section 16	$\delta(a)$ of the	Securiti	es Ez	xchang	ge Act of 1934,				
obligation may conti		7(a) of the	Public Ut	ility Hold	ing Com	pany	Act o	of 1935 or Section	on			
See Instru		30(h)	of the Inv	vestment (Company	y Act	of 19	40				
1(b).												
(Print or Type R	esponses)											
1 Name and A	dress of Penortin	a Derson *	.		T . 1 T	г . 1.		5. Relationship o	f Penorting Per	son(s) to		
				Name and	licker or	I radin	g	Issuer	r Reporting r er	3011(3) 10		
Aquitano Don N			-	Symbol								
	Rubicon Technology, Inc. [RBCN]				CNJ	(Check all applicable)						
				e of Earliest Transaction								
		OGV		n/Day/Year)			X_ DirectorX_ 10% Owner Officer (give title Other (specify					
	ON TECHNOI		03/31/20	2008				below) below)				
INC., 9931 F	RANKLIN A'	VENUE										
(Street) 4			4. If Amer	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check				
File				iled(Month/Day/Year)				Applicable Line)				
X Form filed by O								ore Reporting Person ore than One Reporting				
FRANKLIN	PARK, IL 601	31						Person		oportung		
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficial	lly Owned		
1.Title of	2. Transaction D	ate 2A. Dee	emed	3. 4. Securities				5. Amount of	6. Ownership	Indirect Beneficial		
Security	(Month/Day/Yea	ar) Execution	on Date, if	TransactionAcquired (A) or			Securities	Form: Direct				
(Instr. 3)		any		CodeDisposed of (D)) (Instr. 8)(Instr. 3, 4 and 5)				Beneficially	(D) or			
		(Month/	Day/Year)				5)	Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)		
								Reported	(1130. 4)	(IIIsu: 4)		
						(A)		Transaction(s)				
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common	0.0 /0.1 /0.000							2 4 4 (2)	-			
Stock	03/31/2008			А	241 <u>(1)</u>	А	\$0	241 (2)	D			
										C		
Common								2,109,114	Ι	See		
Stock										footnote (3)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	7. Titl Amou Under Secur (Instr.	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Aquilano Don N C/O RUBICON TECHNOLOGY INC. 9931 FRANKLIN AVENUE FRANKLIN PARK, IL 60131	X	Х					
Signatures							
/s/ Scott Glickson, attorney-in-fact	05/14/200	8					
**Signature of Reporting Person	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Consists of restricted stock pursuant to grants that vest ratably over three years beginning on March 31, 2009.
- (2) Consists of restricted stock pursuant to grants that vest ratably over three years.

Consists of shares held by Gazelle TechVentures Fund, L.P., and Gazelle Co-Investment Fund, L.P. (the "Gazelle Funds"). The Reporting Person, a director of the issuer, is the managing director and president of Gazelle TechVentures, Inc., which is the manager of Monument Technology Partners, LLC, which is the general partner of the Gazelle Funds. The Reporting Person disclaims beneficial ownership of the

shares held by the Gazelle Funds except to the extent of the Reporting Person's pecuniary interest in the shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.