

RABINOWITZ STEPHEN

Form 4

August 04, 2009

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
RABINOWITZ STEPHEN

2. Issuer Name **and** Ticker or Trading
Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

140 JOHN JAMES AUDUBON
PARKWAY

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
08/01/2009

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

AMHERST, NY 14228

(City) (State) (Zip)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock				(A) or (D)			
			Code	V	Amount		Price
Common Stock	08/01/2009		A		300 ⁽¹⁾	A	\$ 0 10,771
Common Stock	08/01/2009		A		300 ⁽²⁾	A	\$ 0 11,071

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form**

SEC 1474
(9-02)

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(3)							(2)	(2)	Common Stock	300 (2)
Restricted Stock Units	(3)							(4)	(4)	Common Stock	650 (4)
Restricted Stock Units	(3)							(5)	(5)	Common Stock	1,300

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
RABINOWITZ STEPHEN 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228	X

Signatures

Stephen
Rabinowitz 08/03/2009

Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1)

Edgar Filing: RABINOWITZ STEPHEN - Form 4

Originally a 1200 restricted stock unit award, 600 restricted stock units became fully vested and non-forfeitable on August 1, 2007, 300 restricted stock units became fully vested and non-forfeitable on August 1, 2008, and 300 restricted stock units became fully vested and non-forfeitable on August 1, 2009.

(2) Originally a 1200 restricted stock unit award, 600 restricted stock units became fully vested and non-forfeitable on August 1, 2008, and 300 restricted stock units became fully vested and non-forfeitable on August 1, 2009. The remaining 300 restricted stock units become fully vested and non-forfeitable on August 1, 2010, if reporting person remains a director of issuer.

(3) Each restricted stock unit represents a contingent right to receive one (1) share of Columbus McKinnon Corporation common stock.

(4) Originally a 1300 restricted stock unit award, 650 restricted stock units became fully vested and non-forfeitable on July 28, 2009. The remaining 650 restricted stock units become fully vested and non-forfeitable 50% on July 28, 2010 and 50% on July 28, 2011, if reporting person remains a director of issuer.

(5) Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006, subject to forfeiture; units become fully vested and non-forfeitable 50% on July 27, 2010, 25% on July 27, 2011 and 25% on July 27, 2012, if reporting person remains a director of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.