

Edgar Filing: PFEIFER ANDREW - Form SC 13D/A

PFEIFER ANDREW  
Form SC 13D/A  
May 23, 2003

SCHEDULE 13D/A  
(RULE 13d-101)

Information to be Included in Statements Filed Pursuant to Rule 13d-  
1(a) and  
Amendments Thereto Filed Pursuant to Rule 13d-2(a)

SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, DC 20549

SCHEDULE 13D/A

Under the Securities Exchange Act of 1934  
(Amendment No. )\*

ACCESS SOLUTIONS INTERNATIONAL

-----  
(Name of Issuer)

Common Stock

-----  
(Title of Class of Securities)

004317103

-----  
(CUSIP Number)

Andrew Pfeifer  
531 Fairfield Drive  
Louisville, KY 40206  
502-895-8445

-----  
(Name, Address and Telephone Number of Person Authorized to  
Receive Notices and Communications)

May 16, 2003

-----  
(Date of Event which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G  
to  
report the acquisition which is the subject of this Schedule 13D, and  
is filing  
this schedule because of Rule 13d-1(e), 13d-1(f) or 13d-1(g), check  
the  
following box / /.

Note: Schedules filed in paper format shall include a signed  
original

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and five copies of the schedule, including all exhibits. See  
Rule  
13d-7(b) for other parties to whom copies are to be sent.

\*The remainder of this cover page shall be filled out for a  
reporting  
person's initial filing on this form with respect to the subject  
class of  
securities, and for any subsequent amendment containing  
information which  
would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not  
be deemed to be "filed" for the purpose of Section 18 of the  
Securities Exchange Act of 1934 ("Act") or otherwise subject to the  
liabilities of that section of the Act but shall be subject to all  
other provisions of the Act (however, see the Notes).

CUSIP NO. 13D PAGE  
OF PAGES

1 NAMES OF REPORTING PERSONS/I.R.S. IDENTIFICATION NOS. OF ABOVE  
PERSONS  
(ENTITIES ONLY)

Andrew Pfeifer

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP  
(See Instructions)

(a) ☐

(b) ☐

3 SEC USE ONLY

4 SOURCE OF FUNDS (See Instructions)

WC

5 CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT  
TO ITEM 2(d) OR 2(e)  
☐

6 CITIZENSHIP OR PLACE OF ORGANIZATION

United States of America

7 SOLE VOTING POWER  
NUMBER OF  
367,500  
SHARES

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8 SHARED VOTING POWER

BENEFICIALLY

None

OWNED BY EACH

-----

9 SOLE DISPOSITIVE POWER

REPORTING

367,500

PERSON

-----

10 SHARED DISPOSITIVE POWER

WITH

None

-----

-----

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

367,500

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12 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES  
CERTAIN SHARES (See Instructions)

[ ]

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13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

8.17%

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-----

14 TYPE OF REPORTING PERSON (See Instructions)

IN

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## ITEM 1. SECURITY AND ISSUER

The class of equity securities to which this Schedule 13D relates is the common stock, \$0.01 par value (the "Common Stock"), of Access Solutions International Inc. (the "Issuer") a Delaware corporation. The address of the Issuer's executive office is located at 850 Main Street, East Greenwich, Rhode Island 02818.

## ITEM 2. IDENTITY AND BACKGROUND

(a) Andrew Pfeifer

(b) His address is 531 Fairfield Drive, Louisville, KY 40206.

(c) Principal occupation is entrepreneur and investor

(d) During the last five years, Andrew Pfeifer has not been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors).

(e) During the last five years, Andrew Pfeifer has not been party to a civil proceeding of a judicial or administrative body of competent jurisdiction and as a result of such proceeding was or is subject to a judgement, decrees or final order enjoining the person from future violations of, or prohibiting or mandating activities subject to federal

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or state securities laws or finding any violation of such laws.

(f) Andrew Pfeifer is a citizen of the United States.

### ITEM 3. SOURCE AND AMOUNT OF FUNDS OR OTHER CONSIDERATION

The source and amount of funds used to purchase the securities was Mr. Pfeifer's personal savings. There was no borrowing involved in the acquisition of his shares.

### ITEM 4. PURPOSE OF TRANSACTION

The purpose of the acquisition of securities of the issuer is for investment purposes. Accordingly, there are no plans or proposals which the reporting person has that would result in:

- (a) the acquisition of additional securities of the issuer or disposal of securities of the issuer, however and except, Andrew Pfeifer may decide to acquire additional securities or dispose of some or all of his securities depending upon the securities increase or decrease in the price reflected on the stock market;
- (b) an extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- (c) a sale or transfer of a material amount of assets of the issuer or any of its subsidiaries;
- (d) any change in the present board of directors or management of the issuer;
- (e) any material change in the present capitalization or dividend policy of the issuer;
- (f) any other material change in the issuer's business or corporate structure;
- (g) changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- (h) causing a class of securities of the issuer to be delisted from a national securities exchange or to cease to be authorized to be quoted in an inter-dealer quotation system of a registered national securities association;
- (i) a class of equity securities of the issuer becoming eligible for termination of registration pursuant to Section 12(g)(4) of the Act; or
- (j) any action similar to any of those enumerated above.

### ITEM 5. INTEREST IN SECURITIES OF THE ISSUER

- (a) The aggregate number and percentage of the class of

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securities

identified pursuant to Item 1 is 367,500 shares  
consisting of  
approximately 8.17% of the 4,498,940 securities  
outstanding.

(b) Andrew Pfeifer has 367,500 shares which he has sole power  
to vote

or direct the vote, no shares which he has shared power  
to vote or

direct the vote, has 367,500 shares which he has sole  
power to

dispose or direct the disposition, and no shares which he  
has

shared power to dispose or direct the disposition.

(c) The description of any transactions in the class of  
securities

reported on that were effected during the past 60 days or  
since the

most recent filing on Schedule 13D, whichever is less by  
Andrew

Pfeifer, the reporting person is attached as Exhibit A  
(trading

purchases and sales of the issuer during the past 60 days  
including

date, amount of securities involved, price per share and  
how and

where the transaction was effected).

(d) No other person is known to have the right to receive or  
the power

to direct the receipt of dividends from, or the proceeds  
from the

sale of such securities.

(e) N/A [the date the reporting person cease to be the  
beneficial owner

of more than five percent of the class of securities].

ITEM 6. CONTRACTS, ARRANGEMENTS, UNDERSTANDINGS OR RELATIONSHIPS WITH  
RESPECT TO  
SECURITIES OF THE ISSUER.

There are no contracts, arrangements, understandings (legal or  
otherwise) among the person named in Item 2 and between such persons  
and any person with respect to any securities of the issuer.

ITEM 7. MATERIAL TO BE FILED AS EXHIBITS.

Exhibit A - (trading purchases and sales of the issuer during the past  
60 days including date, amount of securities involved, price per share  
and how and where the transaction was effected)

SIGNATURE

After reasonable inquiry and to the best of my knowledge and  
belief, I

certify that the information set forth in this statement is true,  
complete

and correct.

Date: May 23, 2003

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/s/ Andrew Pfeifer

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Andrew Pfeifer

EXHIBIT A

SCHEDULE 13D

Andrew Pfeifer

Date of Event that Requires filing: May 16, 2003

| Date of<br>Transaction | Number of<br>Shares<br>Purchased | Price per<br>Share | Transaction<br>Affected |
|------------------------|----------------------------------|--------------------|-------------------------|
| 5/14/03                | 35,000                           | \$0.15             | open mkt                |
| 5/23/03                | 15,000                           | \$0.15             | open mkt                |