KROGER CO Form 4

December 02, 2010

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

5 Relationship of Reporting Person(s) to

D

D

D

D

January 31, 2005

0.5

Estimated average burden hours per response...

**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2 Jaguar Nama and Tiakar or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

11/30/2010

11/30/2010

12/01/2010

12/01/2010

Stock

Stock

Stock

Stock

Common

Common

Common

| VAN OFLI                             | ABETH Symbol                            | •   |   |   |       | Issuer      |  |  |   |  |
|--------------------------------------|---|---|---|---|-------|-------------|--|--|---|--|
|                                      |   | KROG  | KROGER CO [KR]                          |   |       |             | (Check all applicable)   |  |   |  |
| (Last)                               | (First) (                               | ,   | of Earliest T                           | ransaction                              |       |             |  |  |   |  |
| 1014 VINE                            | STREET                                  | (Month/)<br>11/30/2   | Day/Year)<br>2010                       |   |       |             | DirectorX Officer (give below) Vice Pres   |  |   |  |
|                                      | (Street)                                | 4. If Am  | endment, D                              | ate Origina                             | ıl    |             | 6. Individual or Jo  | int/Group Filir  | ng(Check  |  |
| CINCINNA                             | ATI, OH 45202                           | Filed(Mo  | Filed(Month/Day/Year)                   |   |       |             | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person               |  |   |  |
| (City)                               | (State)                                 | (Zip) Tab   | ole I - Non-                            | Derivative                              | Secu  | rities Acqu | iired, Disposed of   | , or Beneficial  | lly Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securit<br>or(A) or Di<br>(Instr. 3, | spose | d of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common                               | 11/20/2010                              |   | М                                       | 4.000                                   | ۸     | ¢ 17 21     | 27 125 0070  | D  |   |  |

4,000

8,000

4,500

4,500

(1)

D

\$ 23.5

\$ 24

\$ 17.31 27,135.9979

(2)

19,135.9979

23,635.9979

19,135.9979

M

S

M

S

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount<br>Underlying Securitie<br>(Instr. 3 and 4) |                                       |
|---|---|--------------------------------------|--|---|---|--|--------------------|---|---------------------------------------|
|   |   |                                      |  | Code V                                  | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amour<br>or<br>Number<br>of<br>Shares |
| Non-Qualified<br>Stock Option                       | \$ 17.31  | 11/30/2010                           |  | M                                       | 4,000   | (3)  | 05/06/2014         | Common<br>Stock   | 4,00                                  |
| Non-Qualified<br>Stock Option                       | \$ 22.995   | 12/01/2010                           |  | M                                       | 4,500   | (3)  | 05/09/2012         | Common<br>Stock   | 4,50                                  |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

VAN OFLEN MARY ELIZABETH 1014 VINE STREET CINCINNATI, OH 45202

Vice President & Controller

### **Signatures**

/s/ Mary Elizabeth Van Oflen, by Bruce M. Gack, Attorney-in-Fact

12/02/2010

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on September 22, 2010.
- (2) The total amount of securities directly owned by the reporting person includes shares in the Company's employee benefit plans that are deemed to be 'tax-conditioned plans' pursuant to Rule 16b-3, to the extent disclosed on reports received from plan trustees.
- (3) These options were granted under a long-term incentive plan of The Kroger Co. and vest in equal annual installments in whole amounts over a five-year period, at the rate of 20% per year commencing one year from the date of the grant.

Reporting Owners 2

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