#### Edgar Filing: NAVIKAS DAVID B - Form 3

NAVIKAS DAVID B

Form 3 June 17, 2011

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Expires:

**OMB APPROVAL** 

January 31, 2005

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**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement PPG INDUSTRIES INC [PPG] NAVIKAS DAVID B (Month/Day/Year) 06/10/2011 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) PPG INDUSTRIES. (Check all applicable) INC., ONE PPG PLACE (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Sr. VP Finance & CFO Person PITTSBURGH, Â PAÂ 15272 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 14,536 Common Stock 2,888.5366 (1) I Employer 401(k) Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative 2. Date Exercisable and 3. Title and Amount of 5. 6. Nature of Indirect Security **Expiration Date** Securities Underlying Conversion Ownership Beneficial (Month/Day/Year) (Instr. 4) **Derivative Security** or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Options (2)	02/16/2008	02/15/2015	Common Stock	6,400	\$ 71.88	D	Â
Employee Stock Options (2)	02/15/2009	02/14/2016	Common Stock	5,300	\$ 59.63	D	Â
Employee Stock Options (3)	02/14/2010	02/13/2017	Common Stock	6,000	\$ 68.61	D	Â
Employee Stock Options (3)	02/20/2011	02/19/2018	Common Stock	5,200	\$ 63.69	D	Â
Employee Stock Options (3)	02/18/2012	02/17/2019	Common Stock	7,900	\$ 34.09	D	Â
Employee Stock Options (3)	02/17/2013	02/16/2020	Common Stock	9,400	\$ 61.81	D	Â
Employee Stock Options (3)	02/16/2014	02/15/2021	Common Stock	5,000	\$ 88.7	D	Â
Phantom Stock Units	(4)	(5)	Common Stock	6,552.3939 (6)	\$ <u>(7)</u>	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
• 0	Director	10% Owner	Officer	Other		
NAVIKAS DAVID B PPG INDUSTRIES, INC. ONE PPG PLACE PITTSBURGH, PA 15272	Â	Â	Sr. VP Finance & CFO	Â		

### **Signatures**

Greg E. Gordon, Attorney-in-Fact for David B.
Navikas

06/17/2011

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Total amount of shares held for the reporting person in the reporting person's account in the PPG Industries Employee Savings Plan as of June 10, 2011.

Date

- (2) Right to buy granted under the PPG Industries, Inc. Stock Plan.
- (3) Right to buy granted under the PPG Industries, Inc. Omnibus Incentive Plan.
- (4) Immediately

Reporting Owners 2

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- (5) After termination of employment with PPG.
- (6) Total of all phantom stock units held by the reporting person in the PPG Industries, Inc. Deferred Compensation Plan.
- (7) The security converts to common stock on a one-for-one basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.