

HEDRICK W SCOTT
Form 3
October 09, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|---------|----------|--|--|--|
| 1. Name and Address of Reporting Person * | | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â INTERWEST PARTNERS | | | (Month/Day/Year) | MACROGENICS INC [MGNX] | |
| VIII LP | | | 10/09/2013 | | |
| (Last) | (First) | (Middle) | 4. Relationship of Reporting Person(s) to Issuer | | |
| 2710 SAND HILL RD,Â SUITE 200 | | | (Check all applicable) | | |
| (Street) | | | <input type="checkbox"/> Director | <input checked="" type="checkbox"/> 10% Owner | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| MENLO PARK,Â CAÂ 94025 | | | <input type="checkbox"/> Officer | <input type="checkbox"/> Other | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | (give title below) (specify below) | | <input type="checkbox"/> Form filed by One Reporting Person |
| | | | | | <input checked="" type="checkbox"/> Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
|------------------------------------|--|---|--|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|--|--|--|
| | Date Exercisable Expiration Date | Title Amount or Number of | | | |

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| | | | | Shares | | or Indirect (I) (Instr. 5) | |
|---|-------|-------|--------------|------------|--------|----------------------------------|-------------------|
| Series A-1 Convertible Preferred Stock | Â (1) | Â (1) | Common Stock | 12,001,000 | \$ (1) | I | See Footnote (2) |
| Series B Convertible Preferred Stock | Â (3) | Â (3) | Common Stock | 10,131,856 | \$ (3) | I | See Footnote (4) |
| Series C Convertible Preferred Stock | Â (5) | Â (5) | Common Stock | 5,547,611 | \$ (5) | I | See Footnote (6) |
| Series D-2 Convertible Preferred Stock | Â (5) | Â (5) | Common Stock | 613,402 | \$ (5) | I | See Footnote (7) |
| Series D-2 Convertible Preferred Stock Warrants | Â (8) | Â (9) | Common Stock | 46,005 | \$ (5) | I | See Footnote (10) |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| INTERWEST PARTNERS VIII LP 2710 SAND HILL RD SUITE 200 MENLO PARK, CA 94025 | Â | Â X | Â | Â |
| InterWest Management Partners VIII, LLC 2710 SAND HILL RD SUITE 200 MENLO PARK, CA 94025 | Â | Â X | Â | Â |
| INTERWEST INVESTORS VIII L P 2710 SAND HILL RD SUITE 200 MENLO PARK, CA 94025 | Â | Â X | Â | Â |
| INTERWEST INVESTORS Q VIII LP 2710 SAND HILL RD SUITE 200 MENLO PARK, CA 94025 | Â | Â X | Â | Â |
| CASH HARVEY B 2710 SAND HILL RD SUITE 200 MENLO PARK, CA 94025 | Â | Â X | Â | Â |
| GIANOS PHILIP T 2710 SAND HILL RD SUITE 200 MENLO PARK, CA 94025 | Â | Â X | Â | Â |
| Kliman Gilbert H 2710 SAND HILL RD | Â | Â X | Â | Â |

SUITE 200
 MENLO PARK, CA 94025

HEDRICK W SCOTT
 2710 SAND HILL RD
 SUITE 200
 MENLO PARK, CA 94025

^ ^ X ^ ^

Holmes W Stephen
 2710 SAND HILL RD
 SUITE 200
 MENLO PARK, CA 94025

^ ^ X ^ ^

Signatures

| | |
|--|------------|
| /s/ W. Stephen Holmes, Managing Director | 10/09/2013 |
| **Signature of Reporting Person | Date |
| /s/ W. Stephen Holmes, Managing Director | 10/09/2013 |
| **Signature of Reporting Person | Date |
| /s/ W. Stephen Holmes, Managing Director | 10/09/2013 |
| **Signature of Reporting Person | Date |
| /s/ W. Stephen Holmes, Managing Director | 10/09/2013 |
| **Signature of Reporting Person | Date |
| /s/ Harvey B. Cash by Karen A. Wilson Power of Attorney | 10/09/2013 |
| **Signature of Reporting Person | Date |
| /s/ Philip T. Gianos by Karen A. Wilson Power of Attorney | 10/09/2013 |
| **Signature of Reporting Person | Date |
| /s/ Gilbert H. Kliman by Karen A. Wilson Power of Attorney | 10/09/2013 |
| **Signature of Reporting Person | Date |
| /s/ W. Scott Hedrick by Karen A. Wilson Power of Attorney | 10/09/2013 |
| **Signature of Reporting Person | Date |
| /s/ W. Stephen Holmes By Karen A. Wilson Power of Attorney | 10/09/2013 |
| **Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of Series A-1 Convertible Preferred Stock is convertible into 0.0802 of a share of Common Stock without payment of further consideration and will automatically convert into 0.0802 of a share of Common Stock upon closing of the Issuer's initial public offering without payment of further consideration. The shares have no expiration date.
- (2) These securities are held as follows: 11,575,052 by InterWest Partners VIII, LP ("IW8"), 93,748 by InterWest Investors VIII, LP ("II8") and 332,200 by InterWest Investors Q VIII, LP ("IIQ8") (collectively, the "InterWest Funds"). InterWest Management Partners VIII,

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LLC ("IMP8") is the General Partner of IW8, II8 and IIQ8 and has sole voting and investment control over the shares owned by IW8, II8 and IIQ8. Harvey B. Cash, Philip T. Gianos, W. Scott Hedrick, W. Stephen Holmes, Gilbert H. Kliman and Arnold L. Oronsky are Managing Directors of IMP8 and disclaim beneficial ownership of those securities, except to the extent of their pecuniary interest therein.

- (3) Each share of Series B Convertible Preferred Stock is convertible into 0.0607 of a share of Common Stock without payment of further consideration and will automatically convert into 0.0607 of a share of Common Stock upon closing of the Issuer's initial public offering without payment of further consideration. The shares have no expiration date.

- (4) These securities are held as follows: 9,774,202 by IW8, 78,015 by II8 and 279,639 by IIQ8. IMP8 is the General Partner of IW8, II8 and IIQ8 and has sole voting and investment control over the shares owned by IW8, II8 and IIQ8. Harvey B. Cash, Philip T. Gianos, W. Scott Hedrick, W. Stephen Holmes, Gilbert H. Kliman and Arnold L. Oronsky are Managing Directors of IMP8 and disclaim beneficial ownership of those securities, except to the extent of their pecuniary interest therein.

- (5) Each share of Series C Convertible Preferred Stock and each share of Series D-2 Convertible Preferred Stock is convertible into 0.0533 of a share of Common Stock without payment of further consideration and will automatically convert into 0.0533 of a share of Common Stock upon closing of the Issuer's initial public offering without payment of further consideration. The shares have no expiration date.

- (6) These securities are held as follows: 5,351,780 by IW8, 42,717 by II8 and 153,114 by IIQ8. IMP8 is the General Partner of IW8, II8 and IIQ8 and has sole voting and investment control over the shares owned by IW8, II8 and IIQ8. Harvey B. Cash, Philip T. Gianos, W. Scott Hedrick, W. Stephen Holmes, Gilbert H. Kliman and Arnold L. Oronsky are Managing Directors of IMP8 and disclaim beneficial ownership of those securities, except to the extent of their pecuniary interest therein.

- (7) These securities are held as follows: 591,749 by IW8, 4,723 by II8 and 16,930 by IIQ8. IMP8 is the General Partner of IW8, II8 and IIQ8 and has sole voting and investment control over the shares owned by IW8, II8 and IIQ8. Harvey B. Cash, Philip T. Gianos, W. Scott Hedrick, W. Stephen Holmes, Gilbert H. Kliman and Arnold L. Oronsky are Managing Directors of IMP8 and disclaim beneficial ownership of those securities, except to the extent of their pecuniary interest therein.

- (8) Immediately.

- (9) The warrants will expire upon the closing of the initial public offering.

- (10) These securities are held as follows: 44,381 by IW8, 354 by II8 and 1,270 by IIQ8. IMP8 is the General Partner of IW8, II8 and IIQ8 and has sole voting and investment control over the shares owned by IW8, II8 and IIQ8. Harvey B. Cash, Philip T. Gianos, W. Scott Hedrick, W. Stephen Holmes, Gilbert H. Kliman and Arnold L. Oronsky are Managing Directors of IMP8 and disclaim beneficial ownership of those securities, except to the extent of their pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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