Edgar Filing: MANNKIND CORP - Form 4

MANNIULINID CODI

| Form 4 | | | | | | | | | | | |
|---|--------------------------------------|--|---|----------------------------|--------------|------------------|--|--|---|-----------|--|
| FORN | IЛ |) статес | SECUE | DITIES A | ND EVC | LIAN | CF C | OMMISSION | | PROVAL | |
| | | J SIAIES | | | D.C. 2054 | | GEU | | OMB Number: | 3235-0287 | |
| Check th if no long subject to Section 1 Form 4 o | ger STATE 6. r | | GES IN BENEFICIAL OWNERSHIP SECURITIES | | | | | Expires:January 3°Extimated averageburden hours perresponse0. | | | |
| Form 5 obligation may cont <i>See</i> Instru 1(b). | ns inue. Section 17 | 7(a) of the 1 | Public U | tility Hold | | any A | Act of | e Act of 1934, 1935 or Sectior 0 | 1 | | |
| (Print or Type I | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Thomson David | | | 2. Issuer Name and Ticker or Trading Symbol MANNKIND CORP [MNKD] | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction (Chec | | | | | | k all applicable) | | |
| 28903 NORTH AVENUE PAINE | | | (Month/Day/Year) 12/11/2013 | | | | | Director 10% Owner XOfficer (give title 0ther (specify below) below) VP & General Counsel | | | |
| | | | | ndment, Da hth/Day/Year | - | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| VALENCIA | A, CA 91355 | | | | | | | Person | ore than One Re | porung | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative Se | ecuriti | es Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Da (Month/Day/Year | hed 3. 4. Securities h Date, if Transaction(A) or Dispo Code (Instr. 3, 4 ar Day/Year) (Instr. 8) | | | oosed of (D) | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | Code V | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | (1150. 4) | | |
| Common Stock | 12/11/2013 | | | М | 150,000 | А | \$ 1.69 | 267,377 | D | | |
| Common Stock | 12/11/2013 | | | S <u>(1)</u> | 150,000 | D | \$ 5 | 83,752 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: MANNKIND CORP - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | orDeri Secu Acqı Disp | umber of vative urities uired (A) or loosed of (D) r. 3, 4, and | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount o Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---------------------------------------|--------------------------------|--|--|--------------------|--|--------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amoun Numbe Shares |
| Employee Stock Option (right to buy) | \$ 1.69 | 12/11/2013 | | М | | 150,000 | 11/01/2013 | 05/16/2022 | Common Stock, \$.01 Par Value | 150,0 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|-----------|----------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Thomson David 28903 NORTH AVENUE PAINE VALENCIA, CA 91355 | | | VP & General Counsel | | | | | |
| Signaturaa | | | | | | | | |

Signatures

Reporting Person

/s/ David Thomson 12/12/2013 **Signature of Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction occurred pursuant to a Rule 10b5-1 Plan entered into as part of a divorce settlement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.