

HOME BANCSHARES INC
Form 5
February 07, 2014

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
Birch Robert F Jr

2. Issuer Name and Ticker or Trading Symbol
HOME BANCSHARES INC
[HOMB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2013

Director 10% Owner
 Officer (give title below) Other (specify below)
Centennial Bank Regional Pres.

P.O. BOX 966

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

CONWAY, AR 72033

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | (A) or (D) | Amount or Price | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|----------------------------------|--------------------------------------|--|--------------------------------|---|------------|-----------------|--|--|---|
| Common Stock | Â | Â | Â | Â | Â | Â | 9,662.8673 (4) (9) | D | Â |
| Common Stock - Performance Based | Â | Â | Â | Â | Â | Â | 4,000 (5) | D | Â |
| Common Stock | Â | Â | Â | Â | Â | Â | 121,085.011 (7) (9) | I | By the Bob Birch |

| | | | | | | | | | |
|---------------------------|---|---|---|---|---|---|--|---|-----------------|
| Common Stock | Â | Â | Â | Â | Â | Â | 13.452 ⁽⁸⁾ ⁽¹⁰⁾ | I | Trust By IRA |
| Common Stock | Â | Â | Â | Â | Â | Â | 1,372.878 ⁽¹¹⁾ | I | By wife's IRA |
| Common Stock | Â | Â | Â | Â | Â | Â | 29,806.208 ⁽³⁾ ⁽¹⁰⁾ | I | By 401(k) |
| Common Stock - Restricted | Â | Â | Â | Â | Â | Â | 6,300 ⁽¹⁾ ⁽²⁾ ₍₆₎ | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Stock Option | \$ 4.34 | Â | Â | Â | Â | 11/30/2004 11/30/2014 | Common Stock | 24,19 |
| Performance Stock Option | \$ 5.54 | Â | Â | Â | Â | 01/01/2010 03/13/2016 | Common Stock | 29,65 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|----------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Birch Robert F Jr P.O. BOX 966 CONWAY, AR 72033 | Â | Â | Â Centennial Bank Regional Pres. | Â |

Signatures

/s/ Robert F. Birch Jr. by Rachel
Wesson

02/07/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock granted on January 22, 2010 will vest in 33 1/3% installments over three years each January 22nd.
- (2) Restricted Stock granted on February 2, 2011 will vest in 33 1/3% installments over three years each February 2nd.
- (3) Includes 49.642 shares acquired by the Home BancShares, Inc. 401(k) Plan since the last filing.
- (4) Includes 344.6611 shares acquired by the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (5) The Performance Stock awarded on August 2, 2012 will "cliff" vest on the third annual anniversary of the date that the performance goal is met. The performance goal was met on September 30, 2013 and will therefore "cliff" vest 100% on September 30, 2016.
- (6) Restricted Stock granted on August 2, 2012 will "cliff" vest 100% three years from award date.
- (7) Includes 254.41 shares acquired by the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (8) Includes 13.141 shares acquired by the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (9) The reporting person transferred 20,856.808 shares into the Bob Birch Trust.
- (10) The reporting person transferred 6,196 shares from his IRA beneficial ownership to his 401(k) beneficial ownership.
- (11) Includes 2.903 shares acquired by the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.