SIGMATRON INTERNATIONAL INC

Form 4

March 21, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

burden hours per

OMB APPROVAL

response...

Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(City)

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person * FAIRHEAD GARY R

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

Symbol SIGMATRON INTERNATIONAL

INC [SGMA]

(Check all applicable)

Director, President & CEO

(Last) (First) (Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

03/19/2014

_X__ Director 10% Owner X_ Officer (give title Other (specify below)

C/O SIGMATRON INTERNATIONAL, INC., 2201

LANDMEIER RD.

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

ELK GROVE VILLAGE, IL 60007

(State)

(Street)

1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of Transactionr Disposed of (D) Indirect Security (Month/Day/Year) Execution Date, if Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) (Instr. 3 and 4) Code V Amount (D) Price Common 03/19/2014 \$ 3.6 D M 5,000 Α 83,203 Stock Common 03/19/2014 S 5,000 78,203 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: SIGMATRON INTERNATIONAL INC - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

 \mathbf{D}

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Number iomf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---------------------------------------|---|-------------|--|--------------------|---|--|
| | | | | Code V | (A) (D |))) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (right to buy) (1) | \$ 3.6 | 03/19/2014 | | M | 4,9 | 50 | 07/19/2012 | 07/18/2022 | Common Stock | 4,950 |
| Stock Option (right to buy) (3) | \$ 3.6 | 03/19/2014 | | M | 50 | 0 | 07/19/2013 | 07/18/2022 | Common Stock | 50 |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | |
|--------------------------------|----------|---------------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| FAIRHEAD GARY R | | | | |

C/O SIGMATRON INTERNATIONAL, INC. X Director, President & CEO 2201 LANDMEIER RD.

ELK GROVE VILLAGE, IL 60007

Signatures

/s/ Gary R. 03/21/2014 Fairhead

**Signature of Reporting Person

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The 5,000 options exercised of as described on this Table II were issued on July 19, 2012, pursuant to and under a single stock option (1) plan and stock option agreement. The exercise of these options are disclosed as two entries solely as a result of the different exercise dates.
- (2) This column needs to be blank.
- (3) The 5,000 options exercised of as described on this Table II were issued on July 19, 2012, pursuant to and under a single stock option plan and stock option agreement. The exercise of these options are disclosed as two entries solely as a result of the different exercise

Reporting Owners 2

Edgar Filing: SIGMATRON INTERNATIONAL INC - Form 4

dates.

(4) This column needs to be blank.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.