Five9, Inc. Form 3 April 03, 2014

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Manor Moni

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

04/03/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Five9, Inc. [FIVN]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(give title below) (specify below)

EVP, Products

5. If Amendment, Date Original Filed(Month/Day/Year)

C/O FIVE9, INC., BISHOP RANCH 8, 4000, Â EXECUTIVE

PARKWAY, SUITE 400

(Street)

Director _X__ Officer

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

SAN RAMON, CAÂ 94583

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership Form: (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

D

Common Stock

25,518

Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

5. 4. Ownership Conversion or Exercise Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 5) Price of Derivative

Derivative Security:

Edgar Filing: Five9, Inc. - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	(1)	08/01/2023	Common stock	44,910	\$ 6.68	D	Â
Stock Option (right to buy)	(2)	08/01/2023	Common stock	347,453	\$ 6.68	D	Â
Stock Option (right to buy)	(3)	11/22/2023	Common stock	37,201	\$ 9.48	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Manor Moni					
C/O FIVE9, INC., BISHOP RANCH 8, 4000	Â	Â	EVP, Products	Â	
EXECUTIVE PARKWAY, SUITE 400			, - ,		
SAN RAMON, CA 94583					

Signatures

/s/ Frances Dea, attorney-in-fact 04/03/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Original option grant was for 59,880 shares. Option began vesting with respect to 25% of the shares subject to the option one year after July 25, 2013, and with respect to the remaining 75% of the shares subject to the option in 36 equal monthly installments thereafter.
- Option began vesting with respect to 25% of the shares subject to the option one year after July 25, 2013, and with respect to the remaining 75% of the shares subject to the option in 36 equal monthly installments thereafter.
- Original option grant was for 47,749 shares. Option began vesting in 48 substantially equal monthly installments on the one-month anniversary of November 22, 2013.

Â

Remarks:

Exhibit List

Exhibit 24 - Limited Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2