

SCYNEXIS INC
Form 3
May 02, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â KIRBY PAMELA J
(Last) (First) (Middle)

C/O SCYNEXIS, INC.,Â 3501
C TRICENTER BOULEVARD

(Street)

DURHAM,Â NCÂ 27713

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)
05/02/2014

3. Issuer Name **and** Ticker or Trading Symbol
SCYNEXIS INC [SCYX]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Expiration
Exercisable Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title Amount or
Number of
Shares

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect
(I)

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

(Instr. 5)

Common Stock Warrant (right to buy)	01/31/2014	01/30/2019	Common Stock	12,745	\$ 0.2	D	Â
Series D-2 Preferred Stock	12/11/2013	Â ⁽¹⁾	Common Stock	12,745 ⁽¹⁾	\$ ⁽¹⁾	D	Â
Stock Option (right to buy)	01/13/2006	01/12/2015	Common Stock	735	\$ 20.4	D	Â
Stock Option (right to buy)	10/20/2009	10/19/2015	Common Stock	4,313	\$ 20.4	D	Â
Stock Option (right to buy)	07/19/2008	07/18/2017	Common Stock	490	\$ 20.4	D	Â
Stock Option (right to buy)	04/18/2009	04/17/2018	Common Stock	1,470	\$ 20.4	D	Â
Stock Option (right to buy)	04/23/2010	04/22/2019	Common Stock	1,470	\$ 25.5	D	Â
Stock Option (right to buy)	07/15/2011	07/14/2020	Common Stock	1,470	\$ 25.91	D	Â
Stock Option (right to buy)	04/21/2012	04/20/2021	Common Stock	1,715	\$ 30.6	D	Â
Stock Option (right to buy)	04/20/2013	07/11/2022	Common Stock	1,715	\$ 24.48	D	Â
Stock Option (right to buy)	04/21/2014	12/19/2023	Common Stock	1,715	\$ 55.08	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KIRBY PAMELA J C/O SCYNEXIS, INC., 3501 C TRICENTER BOULEVARD DURHAM, NC 27713	Â X	Â	Â	Â

Signatures

/s/ Eileen Pruette, by power of attorney

05/02/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Series D-2 Preferred Stock is convertible into Common Stock of the Issuer on a 1-for-20.4 basis at any time at the holder's election, and automatically upon the closing of the Issuer's initial public offering, and has no expiration date.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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