

Bank of New York Mellon Corp  
 Form 4  
 November 14, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Peetz Karen B

(Last) (First) (Middle)

THE BANK OF NEW YORK  
 MELLON CORPORATION, 225  
 LIBERTY STREET

(Street)

NEW YORK, NY 10286

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
 Bank of New York Mellon Corp [BK]

3. Date of Earliest Transaction (Month/Day/Year)  
 11/10/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
 President

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	11/10/2016		M		32,580 A \$ 22.03	D	
Common Stock	11/10/2016		S		32,580 D \$ 46.1806	D	
Common Stock	11/10/2016		M		52,102 A \$ 40.4	D	
Common Stock	11/10/2016		S		52,102 D \$ 46.1377	D	

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						<u>(2)</u>	
Common Stock	11/10/2016	M	24,198	A	\$ 42.83	181,209.0401	D
Common Stock	11/10/2016	S	24,198	D	\$ <u>46.3063</u> <u>(3)</u>	157,011.0401	D
Common Stock	11/10/2016	M	8,964	A	\$ 44.59	165,975.0401	D
Common Stock	11/10/2016	S	8,964	D	\$ <u>46.1073</u> <u>(4)</u>	157,011.0401	D
Common Stock	11/10/2016	M	109,412	A	\$ 42.31	266,423.0401	D
Common Stock	11/10/2016	S	109,412	D	\$ <u>45.974</u> <u>(5)</u>	157,011.0401	D
Common Stock	11/10/2016	M	17,609	A	\$ 34.63	174,620.0401	D
Common Stock	11/10/2016	S	17,609	D	\$ <u>46.2733</u> <u>(6)</u>	157,011.0401	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	An Nu Sha
02/23/2012 Stock Options	\$ 22.03	11/10/2016		M	32,580	02/23/2013 <sup>(7)</sup>	02/22/2022	Common Stock	3
03/13/2007 Stock Options	\$ 40.4	11/10/2016		M	52,102	03/13/2008 <sup>(7)</sup>	03/13/2017	Common Stock	5



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each separate price within this range.

- (7) Grant of Stock Options under the BNY Mellon Long-Term Incentive Plan. Grant became exercisable in annual increments beginning on the date indicated.
- (8) Not Applicable.

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