

Sohi Mohsen
Form 4
August 11, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Sohi Mohsen

(Last) (First) (Middle)

C/O CHANCERY HOUSE, 190
WATERSIDE ROAD, HAMILTON
INDUSTRIAL PARK

(Street)

LEICESTER, X0 LE5 1QZ

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Steris plc [STE]

3. Date of Earliest Transaction
(Month/Day/Year)
08/09/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Ordinary Shares, 0.10 par value	08/09/2017		A		782	A \$ 0	21,816 D
Ordinary Shares, 0.10 par value	08/09/2017		F		123	D \$ 86.23	21,693 D
Ordinary Shares, 0.10 par	08/09/2017		M		2,744	A \$ 34.17	24,437 D

value

Ordinary
Shares,
0.10 par
value

08/09/2017

S

2,744

D

\$

85.98

21,693

D

(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Option (right to buy)	\$ 86.23	08/09/2017		A		4,058		<u>(2)</u>	08/09/2027	Ordinary Shares	4,058
Career Restricted Stock Units	<u>(3)</u>	08/09/2017		A		231		<u>(4)</u>	<u>(4)</u>	Ordinary Shares	231
Director Stock Option (right to buy)	\$ 34.17	08/09/2017		M		2,744		<u>(5)</u>	07/31/2018 <u>(5)</u>	Ordinary Shares	2,744

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Sohi Mohsen
C/O CHANCERY HOUSE, 190 WATERSIDE ROAD

X

HAMILTON INDUSTRIAL PARK
LEICESTER, X0 LE5 1QZ

Signatures

/s/ Ronald E. Snyder, Authorized Representative under Power of
Attorney

08/11/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This represents the average sales price of the shares sold. The actual sales prices ranged from \$85.975 per share to \$86.00 per share. Issuer, upon request by the SEC staff, will provide full information regarding the number of shares sold at each of these two sales prices.
- (2) These nonqualified stock options are fully vested and are exercisable immediately.
- (3) Each Career Restricted Stock Unit represents the right to receive one STERIS ordinary share six months after the cessation of the Director's Board service.
- (4) These Career Restricted Stock Units are fully vested immediately. They will be settled in STERIS ordinary shares six months after the cessation of the Director's Board service.
- (5) This option to purchase 2,744 STERIS plc ordinary shares, which is fully vested, was received in the Merger in exchange for an option to purchase 2,744 STERIS Corporation common shares for \$34.17 per share, subject to the same terms and conditions as the original STERIS Corporation stock option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.