

Barrett Ronald W
Form 4
December 06, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Barrett Ronald W

(Last) (First) (Middle)

C/O CONCERT
PHARMACEUTICALS, INC., 99
HAYDEN AVENUE, SUITE 500

(Street)

LEXINGTON, MA 02421

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
CONCERT PHARMACEUTICALS,
INC. [CNCE]

3. Date of Earliest Transaction
(Month/Day/Year)
12/04/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	12/04/2017		M		3,539	A	\$ 4.41	3,539	D	
Common Stock	12/04/2017		M		3,539	A	\$ 3.79	7,078	D	
Common Stock	12/04/2017		M		3,539	A	\$ 3.5	10,617	D	
Common Stock	12/04/2017		M		3,539	A	\$ 2.88	14,156	D	
	12/04/2017		S		14,156	D		0	D	

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Common Stock \$ 24.7

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	
Non-qualified Stock Option (right to buy)	\$ 4.41	12/04/2017		M	3,539	(1) 12/10/2019	Common Stock	3,539
Non-qualified Stock Option (right to buy)	\$ 3.79	12/04/2017		M	3,539	(1) 12/03/2020	Common Stock	3,539
Non-qualified Stock Option (right to buy)	\$ 3.5	12/04/2017		M	3,539	(1) 11/01/2021	Common Stock	3,539
Non-qualified Stock Option (right to buy)	\$ 2.88	12/04/2017		M	3,539	(1) 11/12/2022	Common Stock	3,539

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Barrett Ronald W C/O CONCERT PHARMACEUTICALS, INC. 99 HAYDEN AVENUE, SUITE 500 LEXINGTON, MA 02421	X

Signatures

/s/ Nancy Stuart as attorney-in-fact for Ronald W.
Barrett

12/06/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option is fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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