

FEINSTEIN MICHAEL
Form 5
February 13, 2018

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
FEINSTEIN MICHAEL

2. Issuer Name and Ticker or Trading Symbol
NOCOPI TECHNOLOGIES
INC/MD/ [NNUP]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2017

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman of the Board

C/O NOCOPI TECHNOLOGIES
INC., 480 SHOEMAKER ROAD,
SUITE 104

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

KING OF PRUSSIA, PA 19406

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
Common stock, \$.01 par value	03/10/2017	Â	J	908,140	D	\$ <u>(1)</u>	1,367,741	D	Â
Common stock,	02/08/2006	Â	P	164,474	A	\$ 0.15	898,474	I	By pension

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Common stock, \$0.01 par value										plan
Common stock, \$0.01 par value	01/09/2017	Â	P	30,000	A	\$ 0.0345	928,474	I		By pension plan
Common stock, \$0.01 par value	01/09/2017	Â	P	12,000	A	\$ 0.035	940,474	I		By pension plan
Common stock, \$0.01 par value	04/03/2017	Â	P	23,900	A	\$ 0.0258	123,900	I		By IRA
Common stock, \$0.01 par value	04/03/2017	Â	P	24,112	A	\$ 0.024	148,012	I		By IRA
Common stock, \$0.01 par value	04/04/2017	Â	P	10,000	A	\$ 0.0261	158,012	I		By IRA
Common stock, \$0.01 par value	04/04/2017	Â	P	50,000	A	\$ 0.0261	208,012	I		By IRA
Common stock, \$0.01 par value	04/04/2017	Â	P	75,000	A	\$ 0.026	283,012	I		By IRA
Common stock, \$0.01 par value	04/04/2017	Â	P	198	A	\$ 0.024	283,210	I		By IRA
Common stock, \$0.01 par value	04/04/2017	Â	P	55,000	A	\$ 0.026	338,210	I		By IRA
Common stock, \$0.01 par value	04/05/2017	Â	P	10,000	A	\$ 0.0295	348,210	I		By IRA
Common stock, \$0.01 par value	04/05/2017	Â	P	29,200	A	\$ 0.0299	377,410	I		By IRA

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value									
Common stock, \$0.01 par value	04/06/2017	Â	P	30,000	A	\$ 0.0298	407,410	I	By IRA
Common stock, \$0.01 par value	04/06/2017	Â	P	70,000	A	\$ 0.03	477,410	I	By IRA
Common stock, \$0.01 par value	04/07/2017	Â	P	99,977	A	\$ 0.03	577,387	I	By IRA
Common stock, \$0.01 par value	04/10/2017	Â	P	28,750	A	\$ 0.03	606,137	I	By IRA
Common stock, \$0.01 par value	04/12/2017	Â	P	1,500	A	\$ 0.033	607,637	I	By IRA
Common stock, \$0.01 par value	04/13/2017	Â	P	10,000	A	\$ 0.035	617,637	I	By IRA
Common stock, \$0.01 par value	04/13/2017	Â	P	45,631	A	\$ 0.038	663,268	I	By IRA
Common stock, \$0.01 par value	04/13/2017	Â	P	100,000	A	\$ 0.035	763,268	I	By IRA
Common stock, \$0.01 par value	04/18/2017	Â	P	11,000	A	\$ 0.04	774,268	I	By IRA
Common stock, \$0.01 par value	04/19/2017	Â	P	30,000	A	\$ 0.0448	804,268	I	By IRA
Common stock, \$0.01 par value	04/20/2017	Â	P	30,000	A	\$ 0.0448	834,268	I	By IRA

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Common stock, \$0.01 par value	04/24/2017	Â	P	30,600	A	\$ 0.05	864,868	I	By IRA
Common stock, \$0.01 par value	04/25/2017	Â	P	13,000	A	\$ 0.05	877,868	I	By IRA
Common stock, \$0.01 par value	04/26/2017	Â	P	100,000	A	\$ 0.05	977,868	I	By IRA
Common stock, \$0.01 par value	04/27/2017	Â	P	81,000	A	\$ 0.04	1,058,868	I	By IRA
Common stock, \$0.01 par value	04/28/2017	Â	P	90,037	A	\$ 0.039	1,148,905	I	By IRA
Common stock, \$0.01 par value	05/03/2017	Â	P	9,963	A	\$ 0.0375	1,158,868	I	By IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Price of Underlying Security (Instr. 5)
					(A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
FEINSTEIN MICHAEL C/O NOCOPI TECHNOLOGIES INC., 480 SHOEMAKER ROAD, SUITE 104 KING OF PRUSSIA, PA 19406	X		Chairman of the Board	

Signatures

Michael A. Feinstein 02/13/2018

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transfer in settlement.

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