

BRAUN JOEL L
Form 4
January 02, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BRAUN JOEL L

(Last) (First) (Middle)

411 THEODORE FREMD AVE
STE. 300, C/O ACADIA REALTY TRUST

(Street)

RYE, NY 10580

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

ACADIA REALTY TRUST [AKR]

3. Date of Earliest Transaction (Month/Day/Year)

12/31/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)
Executive Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)		
				(A) or (D)	Code	V	Amount	(D)	Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transaction	5. Number of Derivative	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities
------------------------	---------------	--------------------------------------	-------------------------------	----------------	-------------------------	---	--

Edgar Filing: BRAUN JOEL L - Form 4

Security (Instr. 3)	or Exercise Price of Derivative Security	any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year)	(Instr. 3 and 4)																
<table border="0"> <thead> <tr> <th align="left">Code</th> <th align="center">V</th> <th align="center">(A)</th> <th align="center">(D)</th> <th align="left">Date Exercisable</th> <th align="left">Expiration Date</th> <th align="left">Title</th> <th align="right">Amount or Number of Shares</th> </tr> </thead> <tbody> <tr> <td align="left">Limited Partnership Units</td> <td align="center">\$ 0</td> <td align="center">12/31/2018</td> <td align="center">A</td> <td align="center">V</td> <td align="right">26,632 <u>(1)</u></td> <td align="left">12/31/2018 12/31/2018</td> <td align="right">Common Shares of Beneficial Interest - \$.001 Par Value 26,6</td> </tr> </tbody> </table>							Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Limited Partnership Units	\$ 0	12/31/2018	A	V	26,632 <u>(1)</u>	12/31/2018 12/31/2018	Common Shares of Beneficial Interest - \$.001 Par Value 26,6
Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares															
Limited Partnership Units	\$ 0	12/31/2018	A	V	26,632 <u>(1)</u>	12/31/2018 12/31/2018	Common Shares of Beneficial Interest - \$.001 Par Value 26,6															

Reporting Owners

Reporting Owner Name / Address	Relationships
BRAUN JOEL L 411 THEODORE FREMD AVE STE. 300 C/O ACADIA REALTY TRUST RYE, NY 10580	Director 10% Owner Officer Other Executive Vice President

Signatures

Joel Braun	01/02/2019
<small>**Signature of Reporting Person</small>	<small>Date</small>

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On December 31, 2018, Mr. Braun was awarded these restricted limited partnership units ("LTIP Units") in Acadia Realty Limited Partnership in connection with his 2018 bonus award. These LTIP Units immediately vest upon issuance. Mr. Braun retired from his role as Executive Vice President and Chief Investment Officer of Acadia Realty Trust on December 31, 2018
- Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.