Edgar Filing: McClements Kyle - Form 4

McClements Form 4 February 04 Form 4 FORN Check th if no long subject to Section 1 Form 4 c Form 5 obligation may com <i>See</i> Instr 1(b).	, 2019 1 4 UNITED S dis box ger 5 5 5 6. 5 7 5 6. 5 7 5 6. 5 7 5 5 5 5 5 5 5 5 5 5 5 5 5	IENT OI suant to S a) of the l	Was F CHAN Section 1 Public Ut	Shington, GES IN SECUR 6(a) of th	D.C. 209 BENEFI ATTIES e Securit ding Com	549 ICIA ies E ipany	L OWN xchange / Act of	OMMISSION NERSHIP OF e Act of 1934, 1935 or Section 0	OMB Number: Expires: Estimated a burden hour response	•	
McClements Kyle Symbol Blackl			Symbol	Rock Health Sciences Trust				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 55 EAST 52	(First) (N 2ND STREET	(Month/D			ransaction			Director10% Owner Officer (give titleXOther (specify below) Portfolio Manager			
Filed(Mor			endment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
	K, NY 10055							Person	iore man One Rej	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-E	Derivative S	Securi	ities Acqu	uired, Disposed of	, or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deen Execution any (Month/D	n Date, if	3. Transactic Code (Instr. 8) Code V	4. Securit on(A) or Dis (Instr. 3, 4)	sposed	l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	01/31/2019			М	325.26	А	(<u>1</u>) (<u>2</u>)	742.15	D		
Common Stock	01/31/2019			D	325.26	D	\$ 39.67 (1) (2)	416.89	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Shares	<u>(1)</u> <u>(3)</u>	01/31/2019		А	727.88		(1)(3)	(1)(3)	Common Stock	727.88
Phantom Shares	<u>(1)</u> <u>(2)</u>	01/31/2019		М		325.26	(1)(2)	(1)(2)	Common Stock	325.26

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
McClements Kyle 55 EAST 52ND STREET NEW YORK, NY 10055				Portfolio Manager			
Signatures							
/s/ Kiersten Zaza as Attorney-in-Fact		02/04/201	9				
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) A phantom share is the economic equivalent of one share of common stock and, subject to the applicable vesting requirements, becomes payable in cash.
- (2) As previously reported on a Form 4 dated February 2, 2018, the Reporting Person was granted phantom shares on January 31, 2018 payable in cash on vesting, which occurs in equal installments on each of the first three anniversaries of the grant date.
- (3) These phantom shares vest in equal installments on each of the first three anniversaries of the award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.