

Huller Kelly  
Form 3  
March 06, 2019

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

^ Huller Kelly

(Last) (First) (Middle)

VALLEY FORGE BUSINESS CENTER,^ 2560 GENERAL ARMISTEAD AVENUE

(Street)

AUDUBON,^ PA^ 19403

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
02/27/2019

3. Issuer Name and Ticker or Trading Symbol  
GLOBUS MEDICAL INC [GMED]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer  Other  
(give title below) (specify below)  
SVP, General Counsel

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)

Class A Common Stock

2. Amount of Securities Beneficially Owned (Instr. 4)

15,384

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

D ^

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy Class A Common Stock)	Â <u>(1)</u>	06/16/2020	Class A Common Stock	3,076	\$ 11.86	D	Â
Stock Option (Right to Buy Class A Common Stock)	Â <u>(2)</u>	02/11/2021	Class A Common Stock	23,076	\$ 11.28	D	Â
Stock Option (Right to Buy Class A Common Stock)	Â <u>(3)</u>	08/29/2022	Class A Common Stock	2,500	\$ 15.34	D	Â
Stock Option (Right to Buy Class A Common Stock)	Â <u>(4)</u>	01/30/2023	Class A Common Stock	7,500	\$ 12.59	D	Â
Stock Option (Right to Buy Class A Common Stock)	Â <u>(5)</u>	03/04/2024	Class A Common Stock	7,500	\$ 24.9	D	Â
Stock Option (Right to Buy Class A Common Stock)	Â <u>(6)</u>	04/01/2025	Class A Common Stock	7,500	\$ 25.8	D	Â
Stock Option (Right to Buy Class A Common Stock)	Â <u>(7)</u>	02/02/2026	Class A Common Stock	7,500	\$ 24.21	D	Â
Stock Option (Right to Buy Class A Common Stock)	Â <u>(8)</u>	02/02/2027	Class A Common Stock	7,500	\$ 25.96	D	Â
Stock Option (Right to Buy Class A Common Stock)	Â <u>(9)</u>	02/02/2028	Class A Common Stock	10,000	\$ 45.64	D	Â
Stock Option (Right to Buy Class A Common Stock)	Â <u>(10)</u>	01/22/2029	Class A Common Stock	40,000	\$ 43.58	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Huller Kelly VALLEY FORGE BUSINESS CENTER 2560 GENERAL ARMISTEAD AVENUE AUDUBON, PA 19403	Â	Â	Â SVP, General Counsel	Â

## Signatures

/s/ Kelly G.  
Huller

03/06/2019

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These options were granted on June 16, 2010 and are fully vested.

(2) These options were granted on February 11, 2011 and are fully vested.

(3) These options were granted on August 29, 2012 and are fully vested.

(4) These options were granted on January 30, 2013 and are fully vested.

(5) These options were granted on March 4, 2014 and are fully vested.

(6) These options were granted on April 1, 2015 and are fully vested.

(7) These options were granted on February 2, 2016, and vest over a four-year period with one-fourth(1/4) of the options granted vesting on January 1, 2017, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

(8) These options were granted on February 2, 2017, and vest over a four-year period with one-fourth(1/4) of the options granted vesting on January 1, 2018, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

(9) These options were granted on February 2, 2018, and vest over a four-year period with one-fourth(1/4) of the options granted vesting on January 1, 2019, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

(10) These options were granted on January 22, 2019, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2020, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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