

TEVENS TIMOTHY T

Form 4

April 06, 2011

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
TEVENS TIMOTHY T

2. Issuer Name **and** Ticker or Trading
Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
140 JOHN JAMES AUDUBON
PARKWAY

3. Date of Earliest Transaction
(Month/Day/Year)
04/05/2011

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
President & CEO

(Street)
AMHERST, NY 14228-1197

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock				(A) or (D)	Price	148,892 ⁽¹⁾	D
Common Stock	04/05/2011		M	4,044 A	\$ 10	152,936	D
Common Stock	04/05/2011		S	2,740 D	\$ 20	150,196	D
Common Stock						5,628 ⁽²⁾	D
Common Stock						7,000	I By spouse

Edgar Filing: TEVENS TIMOTHY T - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Options (Right to Buy)	\$ 10							08/20/2002	08/19/2011	Common Stock	6,705
Non-Qualified Stock Options (Right to Buy)	\$ 10							08/20/2002	08/19/2011	Common Stock	13,295
Incentive Stock Options (Right to Buy)	\$ 5.46							05/17/2005	05/16/2014	Common Stock	95,000
Incentive Stock Options (Right to Buy)	\$ 28.45							05/19/2009	05/18/2018	Common Stock	8,770
Non-Qualified Stock Options (Right to Buy)	\$ 13.27							05/18/2010	05/17/2019	Common Stock	45,172
Non-Qualified Stock Options (Right to Buy)	\$ 18.24							05/17/2011	05/16/2020	Common Stock	33,190

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

X

TEVENS TIMOTHY T
140 JOHN JAMES AUDUBON PARKWAY
AMHERST, NY 14228-1197

President
& CEO

Signatures

By: Mary C. O'Connor, Power of Attorney for Timothy T.
Tevens

04/06/2011

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Includes 22,711 shares of restricted stock issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006, subject to forfeiture in whole or part; 4,629 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/19/2011, and the remaining 18,082 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/18/2011, if reporting person remains an employee of issuer.
- (1) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (2) Originally a 19,310 incentive stock option grant, reporting person exercised 9893 options on 4/1/2011, 1408 options on 4/4/2011 and 1,304 options on 4/5/11. The remaining 6,705 options are fully exercisable, subject to IRS limitations.
- (3) Originally a 40,690 non-qualified stock option grant, reporting person exercised 21,695 options on 4/1/2011, 2960 options on 4/4/2011 and 2,740 options on 4/5/2011. The remaining 13,295 options are fully exercisable, subject to IRS limitations.
- (4) Originally a 125,000 incentive stock option grant, reporting person exercised 30,000 options on 5/27/2009. The remaining 95,000 options are fully exercisable, subject to IRS limitations.
- (5) Exercisable 25% per year for four years, beginning 5/19/09, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (8)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.