

COLUMBUS MCKINNON CORP
Form 4
April 05, 2011

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HOWARD KAREN L

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP [CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
140 JOHN JAMES AUDUBON PARKWAY

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
04/01/2011

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
VP-Finance & CFO

AMHERST, NY 14228

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | | (A) or (D) | Price | | |
| Common Stock | | | | | 51,078 ⁽¹⁾ | D | |
| Common Stock | 04/01/2011 | | M | 26,478 A | \$ 10 77,556 | D | |
| Common Stock | 04/01/2011 | | S | 11,500 D | \$ 19.8321 66,056 | D | |
| Common Stock | 04/01/2011 | | S | 8,800 D | \$ 20.0119 57,256 | D | |
| Common Stock | 04/04/2011 | | M | 3,522 A | \$ 10 60,778 | D | |

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Common Stock 04/04/2011 S 2,700 D \$ 20 58,078 D

Common Stock 2,650 ⁽²⁾ D

Common Stock 736,265 I

Additional shares held by ESOP; reporting person is 1 of 2 trustees; DISCLAIMS beneficial ownership.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Incentive Stock Options (Right to Buy) | \$ 10 | | | | | 08/20/2002 | 08/19/2011 | Common Stock | 6,500 |
| Non-Qualified Stock Options (Right to Buy) | \$ 10 | | | | | 08/20/2002 | 08/19/2011 | Common Stock | 8,500 |
| Incentive Stock Options (Right to Buy) | \$ 5.46 | | | | | 05/17/2005 | 05/16/2014 | Common Stock | 20,000 |
| Non-Qualified Stock Options | \$ 28.45 | | | | | 05/19/2009 | 05/18/2018 | Common Stock | 3,380 |

(Right to Buy)

Non-Qualified
Stock Options \$ 13.27
(Right to Buy)

05/18/2010 05/17/2019

Common
Stock 11,063

Non-Qualified
Stock Options \$ 18.24
(Right to Buy)

05/17/2011 05/16/2020

Common
Stock 8,129

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------------------|-------|
| | Director | 10% Owner | Officer | Other |
| HOWARD KAREN L 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228 | | | VP-Finance & CFO | |

Signatures

By: Mary C. O'Connor, Power of Attorney for Karen L.
Howard

04/05/2011

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Includes 10,492 shares of restricted stock issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006, subject to forfeiture in whole or part; 4,429 shares become fully vested and non-forfeitable
- (1) 33.33% per year for three years beginning 5/18/2011 and the remaining 1,784 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/19/2011, if reporting person remains an employee of issuer and the remaining 4,279 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/17/201, if reporting person remains an employee of issuer.
 - (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
 - (3) Originally a 20,000 incentive stock option grant (incorrectly reported as a 40,500 incentive stock option grant). Reporting person exercised 11,915 options on 4/1/2011 and 1,585 options on 4/4/2011. The remaining 6,500 options are fully exercisable, subject to IRS limitations.
 - (4) Originally a 25,000 non-qualified stock option grant (incorrectly reported as a 4,500 non-qualified stock option grant). Reporting person exercised 14,563 options on 4/1/2011 and 1,937 options on 4/4/2011. The remaining 8,500 options are fully exercisable, subject to IRS limitations.
 - (5) All exercisable, subject to IRS limitations.
 - (6) Exercisable 25% per year for four years, beginning 5/19/09, if reporting person remains an employee of issuer.
 - (7) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
 - (8) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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