



Edgar Filing: TERAN ALAN A - Form 4

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. P... Der... Sec... (Ins...	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non Qualified Stock Option	\$ 2.12					06/07/2012	06/07/2022	Common	2,000
Non Qualified Stock Option	\$ 2.31					01/02/2013	01/02/2023	Common	2,000
Non Qualified Stock Option	\$ 2.44					09/27/2013	09/27/2023	Common	5,000
Non Qualified Stock Option	\$ 7.79					<u>(2)</u>	03/13/2025	Common	7,060
Non Qualified Stock Option	\$ 5.29					<u>(3)</u>	11/23/2025	Common	3,781
Restricted Stock Unit	\$ 0					<u>(4)</u>	11/23/2018	Common	883
Restricted Stock Unit	\$ 0					<u>(5)</u>	11/16/2019	Common	5,600

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other

TERAN ALAN A  
2126 KNOLLWOOD DR  
BOULDER, CO 80302

## Signatures

Alan A. Teran

08/17/2017

  Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares are owned indirectly and are held in the entity Termar Enterprises, Inc. Mr. Teran is the President of Termar Enterprises, Inc..
- (2) These Non-Qualified Stock Options vest in three equal annual installments with the first installment vesting March 13, 2016.
- (3) These Non-Qualified Stock Options vest in three equal annual installments with the first installment vesting November 23, 2016
- (4) The reporting person was granted 1,324 restricted stock units of which 1/3 of the shares of the grant vested on November 23, 2016. Such restricted stock units were previously reported in Table II on a Form 4 filed with the Securities and Exchange Commission.
- (5) The reporting person was granted 5,600 restricted stock units on November 16, 2016 vesting at 1/3 of the total granted amount over three years. Such restricted stock units were previously reported in Table II on a Form 4 filed with the Securities and Exchange Commission.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.