## Edgar Filing: REDMOND ROGER - Form 4

Form 4 May 20, 2013 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Print or Type Responses) 1. Name and Address of Reporting Person <sup>±</sup> . REDMOND ROGER REDMOND ROGER Lasto (Last) (First) (Middle) PATRIOTS PARK (Street) (Street) (Street) 4. If Amendment, Date Original (Last) (Street) (Last) (Street) 4. If Amendment, Date Original (Last) (Street) (Last) (Street) 4. If Amendment, Date Original (Last) (Street) 4. If Amendment, Date Original (Last) (Street) (Last) (Street) (Last) (Street) (Last) (Street) (Last) (Street) (Last) (Last) (Street) (Last) (Last) (Las
FORM 4       UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549       OMB APPROVAL         Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       OMB Number: SECURITIES       Sumary 31, 2005         Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b).       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Investment Company Act of 1940       State Name and Ticker or Trading Symbol SPIRE Corp [SPIR]       State Name and Ticker or Trading Symbol SPIRE Corp [SPIR]       State average burden hours per response         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       State of Earliest Transaction (Month/Day/Year)       State Oir (SPIRE CORPORATION, ONE Do') Officer (give tile below)       Image Officer (give tile below)       Image Officer (give tile below)       Image Officer (give tile below)
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       OMB Number: 2005 Expires:       Mamber: 2005 Expires:         1. Name and Address of Reporting Person! REDMOND ROGER       2. Issuer Name and Ticker or Trading Symbol SPIRE Corp [SPIR] OMD/DAY/Year)       5. Relationship of Reporting Person(s) to Issuer       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year) 05/16/2013       S. Relationship of Reporting Person(s) to Issuer         C/O SPIRE CORPORATION, ONE PARK       0.516/2013
Washington, D.C. 20549       Number: 3235-0287         Check this box if no longer subject to Section 16. Form 4 or Form 5       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Form 4 or Form 5       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b).       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b).       Expires: January 31, 2005         (Print or Type Responses)       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b).       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       Section 1940         (Print or Type Responses)       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)      XDirector below)       Image: Imag
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1. Name and Address of Reporting Person <sup>*</sup> . REDMOND ROGER (Last) (First) (Middle) C/O SPIRE CORPORATION, ONE PATRIOTS PARK STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES
if no longer subject to Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Expires.       2005         Form 4 or Form 5 obligations may continue. See Instruction 1(b).       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       1935 or Section       5. Relationship of Reporting Person(s) to Issuer         (Print or Type Responses)       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)      X Director below)      N Director below)      10% Owner below)
Subject to Section 16.       SECURITIES       Estimated average burden hours per response       0.5         Form 4 or Form 5       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b).       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       1935 or Section       0.5         (Print or Type Responses)       30(h) of the Investment Company Act of 1940       1940       1940       1940         (Print or Type Responses)       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction       (Check all applicable)         (Co SPIRE CORPORATION, ONE PATRIOTS PARK       05/16/2013       -X_Director below)       -10% Owner below)
Form 4 or Form 5       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b).       0.5         (Print or Type Responses)       30(h) of the Investment Company Act of 1940 1(b).       1940         1. Name and Address of Reporting Person* REDMOND ROGER       2. Issuer Name and Ticker or Trading Symbol SPIRE Corp [SPIR]       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       -X_ Director 
obligations may continue. See Instruction 1(b).       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).         (Print or Type Responses)       30(h) of the Investment Company Act of 1940         1. Name and Address of Reporting Person* REDMOND ROGER       2. Issuer Name and Ticker or Trading Symbol SPIRE Corp [SPIR]       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       -X_Director 
may continue.       Section 17/(a) of the Fublic Offiny Holding Company Act of 1935 of Section 30(h) of the Investment Company Act of 1940 1(b).         (Print or Type Responses)       30(h) of the Investment Company Act of 1940 1(b).         1. Name and Address of Reporting Person *       2. Issuer Name and Ticker or Trading Symbol SPIRE Corp [SPIR]         (Last)       (First)         (Last)       (First)         (Month/Day/Year)       -X_ Director (give tile below)         05/16/2013       -X_ Director (give tile below)         05/16/2013       -X_ Director (give tile below)
See Instruction 1(b).       30(h) of the Investment Company Act of 1940         (Print or Type Responses)       . Issuer Name and Ticker or Trading Symbol SPIRE Corp [SPIR]       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       Middle)       3. Date of Earliest Transaction         (Month/Day/Year)       05/16/2013 $-X_{-}$ Director below)       10% Owner         Officer (give title below)
(Print or Type Responses)       1. Name and Address of Reporting Person*       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction       (Check all applicable)         (Doth/Day/Year)       05/16/2013       -XDirector       -10% Owner         Officer (give tille       -00/0000000000000000000000000000000000
1. Name and Address of Reporting Person *       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       (Check all applicable)         C/O SPIRE CORPORATION, ONE PATRIOTS PARK       05/16/2013       -XDirector0Officer (give tile below)       10% Owner0Other (specify below)
1. Name and Address of Reporting Person *       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       (Check all applicable)         C/O SPIRE CORPORATION, ONE PATRIOTS PARK       05/16/2013       -XDirector0Officer (give title below)       10% Owner0Other (specify below)
REDMOND ROGER     Symbol     Issuer       Symbol     SPIRE Corp [SPIR]     (Check all applicable)       (Last)     (First)     (Middle)     3. Date of Earliest Transaction       (Month/Day/Year)    X_ Director    10% Owner       C/O SPIRE CORPORATION, ONE     05/16/2013    Officer (give tile below)    Other (specify below)
REDMOND ROGER     Symbol     Issuer       SPIRE Corp [SPIR]     (Check all applicable)       (Last)     (First)     (Middle)       3. Date of Earliest Transaction     (Month/Day/Year)       (Month/Day/Year)
(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) C/O SPIRE CORPORATION, ONE 05/16/2013 Officer (give title below) = 00000000000000000000000000000000000
(Last)       (First)       (Middle)       3. Date of Earliest Transaction         (Month/Day/Year)      X_ Director      10% Owner         C/O SPIRE CORPORATION, ONE       05/16/2013      Officer (give title below)      Other (specify below)         PATRIOTS PARK      Officer (give title below)      Other (specify below)
C/O SPIRE CORPORATION, ONE 05/16/2013Officer (give titleOther (specify below)
PATRIOTS PARK below) below)
PATRIOTS PARK
(Street) 4 If Amendment Date Original 6 Individual or Joint/Group Filing(Check
(Steel) 4. If Antendench, Date Orginal 0. Individual of Joint Oroup I ming(Cheek
Filed(Month/Day/Year) Applicable Line)
BEDFORD, MA 01730-2396 Form they by More than One Reporting Person
(City) (State) (Zip) <b>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b>
1. Title of2. Transaction Date2A. Deemed3.4. Securities5. Amount of6. Ownership7. Nature of
Security(Month/Day/Year)Execution Date, if anyTransactionAcquired (A) or Disposed of (D)SecuritiesForm: DirectIndirect(Instr. 3)anyCodeDisposed of (D)Beneficially(D) orBeneficially
(Instr. 3)anyCodeDisposed of (D)Beneficially(D) orBeneficial(Month/Day/Year)(Instr. 8)(Instr. 3, 4 and 5)OwnedIndirect (I)Ownership
Following (Instr. 4) (Instr. 4)
(A) Reported Transaction(s)
Or (Instr. 3 and 4)
Code V Amount (D) Price (1150. 5 and 4) Common
Stock
\$0.01 par 6,728 D
value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: REDMOND ROGER - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercis Expiration Dat (Month/Day/Y	e	7. Title and A Underlying S (Instr. 3 and	Securiti
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Share
Non-Qualifed Stock Option (right to buy)	\$ 0.53	05/16/2013		А	2,000	05/16/2013	05/16/2023	Common Stock	2,00

## **Reporting Owners**

Reporting Owner Name / Addre	55	Relationships						
	Director	10% Owner	Officer	Other				
REDMOND ROGER C/O SPIRE CORPORATION ONE PATRIOTS PARK BEDFORD, MA 01730-2390	X							
Signatures								
Roger Redmond	05/20/2013							
<u>**</u> Signature of Reporting Person	Date							

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.