Edgar Filing: CATHAY GENERAL BANCORP - Form 4

CATHAY GENERAL BANCORP

Form 4

February 25, 2008

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

OMB APPROVAL

burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading TARTAGLIA THOMAS G Issuer Symbol CATHAY GENERAL BANCORP (Check all applicable) [CATY] (Last) (First) (Middle) 3. Date of Earliest Transaction X_ Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) 777 NORTH BROADWAY 02/21/2008 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting LOS ANGELES, CA 90012 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 4. Securities 6. Ownership 7. Nature of 3. 5. Amount of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Beneficially Beneficial Disposed of (D) (D) or Indirect (I) (Month/Day/Year) (Instr. 3, 4 and 5) Owned Ownership (Instr. 8) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price T. Common 66,931 **Tartaglia** I Stock Trust Common Ι 12,188 By ESOP Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: CATHAY GENERAL BANCORP - Form 4

$\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisal Expiration Date (Month/Day/Yea		7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 10.625					01/20/2005(1)	01/20/2010	Common Stock	400
Stock Option	\$ 15.0475					01/18/2005(1)	01/18/2011	Common Stock	800
Stock Option	\$ 16.275					02/21/2005(1)	02/21/2012	Common Stock	1,200
Stock Option	\$ 19.925					01/16/2005(1)	01/16/2013	Common Stock	3,040
Stock Option	\$ 24.8					11/20/2004(2)	11/20/2013	Common Stock	11,000
Stock Option	\$ 37					02/17/2006(3)	02/17/2015	Common Stock	3,500
Stock Option	\$ 36.24					01/25/2007(4)	01/25/2016	Common Stock	3,500
Stock Option	\$ 23.37	02/21/2008		A	3,500	02/21/2009(5)	02/21/2018	Common Stock	3,500

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
TARTAGLIA THOMAS G 777 NORTH BROADWAY LOS ANGELES, CA 90012	X					

Signatures

Thomas G. Tartaglia	02/25/2008	
**Signature of Reporting Person	Date	

Reporting Owners 2

Edgar Filing: CATHAY GENERAL BANCORP - Form 4

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option is fully exercisable.
- (2) The option vests in 5 equal annual installments beginning 11/20/04.
- (3) The option vests in 5 equal annual installments beginning 2/17/06.
- (4) The option vests in 5 equal annual installments beginning 1/25/07.
- (5) The option vests in 5 equal annual installments beginning 2/21/09.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.