## Edgar Filing: SMITH ARTHUR D - Form 4

SMITH AR Form 4											
March 23, 24	ПЛ								OMB AF	PROVAL	
	UNITEL	O STATES		RITIES A shington <sub>g</sub>			NGE C	OMMISSION	OMB Number:	3235-0287	
Check th if no long subject to Section 1 Form 4 c	F CHANGES IN BENEFICIAL OWNERS SECURITIES					NERSHIP OF	Expires: Estimated a burden hour response	•			
Form 5 obligatio may con <i>See</i> Instr 1(b).	tinue. Section 17	(a) of the	Public U		ding Cor	npan	y Act of	e Act of 1934, 1935 or Sectior 0	I		
(Print or Type ]	Responses)										
SMITH ARTHUR D Sy				r Name and CORP [(		Tradi	ng	5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle) 3. D (Mo				Date of Earliest Transaction Month/Day/Year) 3/20/2009				(Check all applicable) <u></u> Director <u></u> 10% Owner <u></u> X Officer (give title <u></u> Other (specify below) CHIEF OPERATING OFFICER			
LINTHICU	(Street) M,, MD 21090	Filed(Month/Day/Year) Applicable Line) _X_Form filed bForm filed by				Applicable Line) _X_ Form filed by O	oint/Group Filing(Check One Reporting Person More than One Reporting				
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Secu	rities Acqu	ired, Disposed of,	or Beneficial	y Owned	
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/(Instr. 3)any (Month/Day/		n Date, if	Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common	03/20/2009			Code V S	Amount 352 (1)	or (D)	Price \$	Transaction(s) (Instr. 3 and 4) 257,879	D		
Stock Common Stock	03/20/2009			S	5,123 (2)	D	7.0115 \$ 7.0115	252,756 <u>(3)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SMITH ARTHUR D C/O CIENA CORPORATION 1201 WINTERSON ROAD LINTHICUM,, MD 21090			CHIEF OPERATING OFFICER				
Signatures							
By: Erik Lichter For: Arthur D. Smith		03/23/200	)9				

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related
   (1) to a restricted stock unit (RSU) award agreement dated 12/18/2007. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/20/2007.
- Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related
  (2) to a restricted stock unit (RSU) award agreement dated 12/16/2008. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/18/2008.
- (3) Shares reported include unvested Restricted Stock Units (RSUs).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.