

RAGOT CHRISTIAN
Form 5
May 06, 2011

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).
Form 3 Holdings
Reported
Form 4
Transactions
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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1. Name and Address of Reporting Person *
RAGOT CHRISTIAN

(Last) (First) (Middle)

140 JOHN JAMES AUDUBON
PARKWAY

(Street)

AMHERST, NY 14228

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
COLUMBUS MCKINNON CORP
[CMCO]

3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
03/31/2011

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Reporting

(check applicable line)

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or Amount (D) Price			
Common Stock	Â	Â	Â	Â Â Â	14,517	D	Â
Common Stock	11/10/2010	Â	M	235 ⁽¹⁾ A \$ ⁽¹⁾	14,752	D	Â

Reminder: Report on a separate line for each class of
securities beneficially owned directly or indirectly.

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(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. F
					(A) (D)	Date Exercisable Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	Â	11/10/2010	Â	M	Â 235 <u>(1)</u>	Â <u>(1)</u> Â <u>(1)</u>	Common Stock	235 <u>(1)</u>
Restricted Stock Units	Â	Â	Â	Â	Â Â Â <u>(3)</u>	Â <u>(3)</u>	Common Stock	650 <u>(3)</u>
Restricted Stock Units	Â	Â	Â	Â	Â Â Â <u>(4)</u>	Â <u>(4)</u>	Common Stock	1,300 <u>(4)</u>

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RAGOT CHRISTIAN 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228	Â X	Â	Â	Â

Signatures

Christian Ragot 05/05/2011
 **Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Originally a 940 restricted stock unit award, 470 restricted stock units became fully vested and non-forfeitable on November 10, 2009 and (1) 235 restricted stock units became fully vested and non-forfeitable on November 10, 2010. The remaining 235 restricted stock units become fully vested and non-forfeitable on November 10, 2011, if reporting person remains a director of issuer.

(2) Each restricted stock unit represents a contingent right to receive one (1) share of Columbus McKinnon Corporation common stock.

(3)

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Originally a 1300 restricted stock unit award, 650 restricted stock units became fully vested and non-forfeitable on July 27, 2010. The remaining 650 restricted stock units become fully vested and non-forfeitable 50% on July 27, 2011 and 50% on July 27, 2012, if reporting person remains a director of issuer.

- (4) Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006, subject to forfeiture; units become fully vested and non-forfeitable 50% on July 26, 2011, 25% on July 26, 2012 and 25% on July 26, 2013, if reporting person remains a director of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.