Edgar Filing: Livingston Robert - Form 4

| Livingston Ro Form 4 February 13, | | | | | | | | | | | |
|--|---|---|---|-------------|--|------------------|--|---|--|---|--|
| | | | | | | | | | OMB APPROVAL | | |
| | UNITE | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section | | | | | | | | 3235-0287 | |
| Check this if no long subject to Section 16 Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b). | er STATI 5. Filed p ¹⁵ Section 1 | | | | | | | | | Expires: January 31, 2005 Estimated average burden hours per response 0.5 | |
| (Print or Type R | esponses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Livingston Robert | | | 2. Issuer Name and Ticker or Trading Symbol DOVER Corp [DOV] | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction | | | | (Chec | Check all applicable) | | | |
| C/O DOVER CORPORATION, 3005 HIGHLAND PARKWAY | | | (Month/Day/Year) 02/09/2018 | | | | | X Director 10% Owner X Officer (give title Other (specify below) below) CEO and President | | | |
| | (Street) | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| DOWNERS | GROVE, IL 6 | 60515 | | | | | | Form filed by M Person | Aore than One Re | eporting | |
| (City) | (State) | (Zip) | Table | e I - Non-D | erivative S | ecurit | ies Acq | uired, Disposed o | f, or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction I (Month/Day/Ye | ar) Executio any | med on Date, if Day/Year) | Code | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) | | | Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| | | | | Code V | | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | | | |
| Common Stock | 02/09/2018 | | | А | 13,867 (1) | А | $ \begin{array}{c} \$ 0 \\ \underline{(1)} \end{array} $ | 214,406 | D | | |
| Common Stock | | | | | | | | 18,035 | Ι | By 401(k) Plan | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 7. Title and Underlying (Instr. 3 and |
|---|---|---|---|--|---|---|---|
| | | | | Code V | (A) (D | Date Exercisable Expiration Date | Title |
| Stock Appreciation Right | \$ 97.35 | 02/09/2018 | | А | 166,410 (2) | 02/09/2021 ⁽²⁾ 02/09/2028 ⁽²⁾ | Common Stock |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|------------|-------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Livingston Robert C/O DOVER CORPORATION 3005 HIGHLAND PARKWAY DOWNERS GROVE, IL 60515 | Х | | CEO and President | | | | |
| Signatures | | | | | | | |
| /s/ Robert Livingston by Alison I Attorney-in-fact | 1, | 02/13/2018 | 8 | | | | |

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents grant of restricted stock units ("RSUs") under the 2012 Equity Incentive Plan (the "Plan"). Each RSU represents a contingent right to receive one share of Dover common stock. The RSUs will vest in three annual tranches beginning on March 15, 2019. The Reporting Person is eligible for retirement treatment under the Plan which entitles him to have the RSUs continue to vest following

Date

(1) retirement. Pursuant to the terms of this grant, if the Reporting Person retires prior to the vesting date for any tranche the number of RSUs of that tranche and any other remaining tranche will be adjusted on a pro rata basis based on the number of days he was employed on and after January 1, 2018 divided by 365 (for the first tranche), 730 (for the second tranche) or 1095 (for the third tranche). All forms of equity compensation granted to the Reporting Person on February 9, 2018 are subject to a similar pro rata construct.

The Reporting Person is eligible for retirement treatment under the Plan which entitles him to have the SSARs continue to vest if he retires prior to January 9, 2021. Pursuant to the terms of the grant, if the Reporting Person retires prior to 2/9/2021, the number of SSARs

(2) refirst prior to January 9, 2021. Furstant to the terms of the grant, if the Reporting Ferson refirst prior to 200/2021, the number of 354Res
 will be adjusted on a pro rata basis based on the number of days he was employed from the period January 1, 2018 through December 31, 2020 divided by 1095.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.