LPL Financial Holdings Inc.
Form 3
March 19, 2015

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Â Handelsman Sharyn
(Last) (First) (Middle)

C/O LPL FINANCIAL HOLDINGS INC.,Â 75 STATE STREET, 24TH FLOOR
(Street)

BOSTON,Â MAÂ 02109
(City) (State) (Zip)

2. Date of Event Requiring Statement (Month/Day/Year)
03/11/2015

3. Issuer Name **and** Ticker or Trading Symbol
LPL Financial Holdings Inc. [LPLA]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

_____ Director _____ 10% Owner
__X__ Officer (give title below) _____ Other (specify below)
MD, Chief Compliance Officer

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1.Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	6,478 (1)	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Option to purchase Common Stock	Â (2)	11/17/2020	Common Stock	10,100	$ 30	D	Â
Option to purchase Common Stock	Â (3)	12/22/2020	Common Stock	10,000	$ 34.61	D	Â
Option to purchase Common Stock	Â (4)	02/09/2022	Common Stock	12,491	$ 32.26	D	Â
Option to purchase Common Stock	Â (5)	02/22/2023	Common Stock	10,050	$ 31.6	D	Â
Option to purchase Common Stock	Â (6)	02/24/2024	Common Stock	5,130	$ 54.81	D	Â
Option to purchase Common Stock	Â (7)	03/06/2025	Common Stock	7,144	$ 45.55	D	Â
Option to purchase Common Stock	03/06/2018(8)	03/06/2025	Common Stock	2,857	$ 45.55	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Handelsman Sharyn C/O LPL FINANCIAL HOLDINGS INC. 75 STATE STREET, 24TH FLOOR BOSTON,Â MAÂ 02109	Â	Â	Â MD, Chief Compliance Officer	Â

Signatures

/s/ Sharyn J Handelsman	03/19/2015
**Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Consists of (i) 2,127 shares of Common Stock; (ii) 1,266 restricted stock units that vest ratably on February 22, 2016 and February 22, 2017; (iii) 864 restricted stock units that vest ratably on February 24, 2016 and February 24, 2017; (iv) 1,587 restricted stock units that vest ratably on March 6, 2016, March 6, 2017 and March 6, 2018; and (v) 634 restricted stock units that vest in full on March 6, 2018.

(2) This option is currently exercisable as to 5,050 shares. The remaining award will become vested and exercisable on November 17, 2015.

(3) This option is currently exercisable as to 8,000 shares. The remaining award will become vested and exercisable on December 22, 2015.

(4) This option is currently exercisable as to 7,495 shares. The remaining award will vest ratably on February 9, 2016 and February 9, 2017.

(5) This option is currently exercisable as to 5,025 shares. The remaining award will vest ratably on February 22, 2016 and February 22, 2017.

(6) This option is currently exercisable as to 1,710 shares. The remaining award will vest ratably on February 24, 2016 and February 24, 2017.

(7) This option is not currently exercisable as to any shares. The remaining award will vest ratably on March 6, 2016, March 6, 2017 and March 6, 2018.

(8) This option will become exercisable in full on March 6, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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