Edgar Filing: CATHAY GENERAL BANCORP - Form 4

CATHAY GENERAL BANCORP

Form 4

December 21, 2015

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). See Instruction 1(b). OMB APPROVAL OMB Number: 3235-0287 Mashington, D.C. 20549 Says and Expires: Says and Expires: 2005 Expires: 2005 Expires: 2005 Expires: 2005 Expires: 2005 Expires: 2005 Expires: 200											
(Print or Type Responses)											
Name and Address of Reporting Person WONG IRWIN	Symbol	CATHAY GENERAL BANCORP			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
(Last) (First) (Middle) 777 NORTH BROADWAY	3. Date of Earliest Transa (Month/Day/Year) 12/17/2015				Director 10% Owner X Officer (give title Other (specify below) SEVP, Chief Operating Officer						
(Street) LOS ANGELES, CA 90012	4. If Amendment, Date C Filed(Month/Day/Year)	4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting						
			Person								
		vative Securities Acc									
1.Title of Security (Month/Day/Year) Executive (Instr. 3) (Month/Day/Year) Executive (Month/Day/Year) Executive (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) Executive (Month/Day/Year) Executive (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) Executive (Month/Day/Year)	cution Date, if TransactionA Code D onth/Day/Year) (Instr. 8) (I	. Securities .cquired (A) or bisposed of (D) .nstr. 3, 4 and 5) (A) or .mount (D) Price	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)						
Common Stock			29,202.95 (1)	D							
Common Stock			17,036.14	I	By ESOP						

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deri Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(2)	12/17/2015		A	9,233 (2)	(3)	(3)	Common Stock	9,233	!
Restricted Stock Units	(2)	12/17/2015		A	9,844 (2)	(3)	(3)	Common Stock	9,844	<u>!</u>

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

WONG IRWIN 777 NORTH BROADWAY LOS ANGELES, CA 90012

SEVP, Chief Operating Officer

Signatures

/s/ Monica Chen,

attorney-in-fact 12/21/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 103.92 shares acquired through dividend reinvestment year-to-date
- Each restricted stock unit represented a contingent right to receive one share of Common Stock uppn vesting. The number of restricted (2) stock units that are earned can be reduced by up to 100% of the target award or increased by up to 150% of the target award, depending upon the achievement of certain performance criteria.
- These restricted stock units are scheduled to vest in a single installment on Decmeber 31, 2018, subject to continued employment, but (3) may vest to some extent earlier in the event of death, disability, retirement after December 31, 2017 or a change in control, with the number of units earned being based on the achievement of certain performance criteria.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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