## Edgar Filing: INTREXON CORP - Form 3

### INTREXON CORP

Form 3

August 07, 2013

# FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

3235-0104

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January 31, 2005

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**OMB APPROVAL** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

A Webster Darryl

(Last)

(First)

Requiring Statement (Month/Day/Year)

2. Date of Event

08/07/2013

3. Issuer Name and Ticker or Trading Symbol

INTREXON CORP [XON]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

10% Owner Director \_X\_\_ Officer Other (give title below) (specify below)

SVP--Intellectual Property

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One

Reporting Person

20374 SENECA MEADOWS **PARKWAY** 

(Street)

(State)

GERMANTOWN, MDÂ 20876

(Zip)

(Middle)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

(City)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1473 (7-02)

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Date Exercisable

Expiration Date

Title Amount or Number of Shares

Derivative Security

Direct (D) or Indirect (I)

1

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						(Instr. 5)	
Option to Purchase Common Stock	(1)	06/30/2020	Common Stock	11,428	\$ 3.29	D	Â
Option to Purchase Common Stock	(2)	07/01/2021	Common Stock	45,714	\$ 7.12	D	Â
Series D Convertible	(3)	(3)	Common Stock	9,170	\$ <u>(3)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
and the same of th	Director	10% Owner	Officer	Other	
Webster Darryl 20374 SENECA MEADOWS PARKWAY GERMANTOWN, MD 20876	Â	Â	SVPIntellectual Property	Â	

# **Signatures**

/s/ Darryl
Webster

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 8,571 options are immediately exercisable; remaing options vest on March 29, 2014.
- (2) 22,857 options are immediately exercisable; remaining options vest annually in increments of 11,428 and 11,429 on each of July 1, 2014 and 2015, respectively.

The Series D Convertible Preferred Stock is convertible into Common Stock on a 1-for-1.75 basis into the number of shares of Common Stock as shown in column 3 at any time at the holder's election, and automatically upon (i) the closing of the Issuer's firm commitment

(3) underwritten initial public offering in the event it results in net proceeds of at least \$100,000,000 and (ii) upon the election of the holders of a majority of the then outstanding shares of such series. Upon conversion, all dividends that have accrued with respect to Series D Preferred Stock will convert into shares of Common Stock at the then current fair market value. The Series D Convertible Preferred Stock has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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