

MOORE STEVEN L
Form 4
November 22, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
MOORE STEVEN L

(Last) (First) (Middle)

224 AIRPORT PARKWAY, SUITE
400

(Street)

SAN JOSE, CA 95110

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
PIXELWORKS, INC [PXLW]

3. Date of Earliest Transaction
(Month/Day/Year)

11/20/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer (give title ____ Other (specify
below) below)

VP, CFO & Treasurer

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	11/20/2017		M		30,000	A	\$ 2.36	496,570	D
Common Stock	11/20/2017		S		30,000	D	\$ 5.7699	466,570	D
Common Stock	11/21/2017		M		8,333	A	\$ 2.28	474,903	D
Common Stock	11/21/2017		M		20,000	A	\$ 2.36	494,903	D
Common Stock	11/21/2017		M		16,666	A	\$ 2.28	511,569	D

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Common Stock	11/21/2017	S	16,666	D	\$ 5.9846	494,903	D
Common Stock	11/21/2017	S	8,333	D	\$ 5.9846	486,570	D
Common Stock	11/21/2017	S	20,000	D	\$ 5.9285	466,570	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Underlying Security (Instr. 3 and 4)		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 2.28	11/21/2017		M		16,666		11/21/2017	02/28/2018	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 2.28	11/21/2017		M		8,333		11/21/2017	03/02/2018	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 2.36	11/20/2017		M		30,000		11/20/2017 ⁽¹⁾	02/09/2018	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 2.36	11/21/2017		M		20,000		11/21/2017 ⁽¹⁾	02/09/2018	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 0.6							⁽¹⁾	03/23/2019	Common Stock

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

MOORE STEVEN L
224 AIRPORT PARKWAY
SUITE 400
SAN JOSE, CA 95110

VP, CFO & Treasurer

Signatures

Steven L Moore

11/21/2017

 Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Becomes exercisable on a monthly basis over 3 years, commencing the last day of the month of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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