

COLUMBUS MCKINNON CORP  
 Form 4/A  
 November 05, 2013

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Woon Eric

2. Issuer Name and Ticker or Trading Symbol  
 COLUMBUS MCKINNON CORP  
 [CMCO]

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)  
 \_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
 Vice President - APAC

(Last) (First) (Middle)  
 140 JOHN JAMES AUDUBON  
 PARKWAY  
 (Street)

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 10/30/2013

AMHERST, NY 14228

4. If Amendment, Date Original Filed(Month/Day/Year)  
 11/01/2013

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock					10,125 <sup>(1)</sup>	D	
Common Stock	10/30/2013		S	610	D \$ 26.55	9,515 <sup>(1)</sup>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
 (9-02)

Edgar Filing: COLUMBUS MCKINNON CORP - Form 4/A

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Options (Right to Buy)	\$ 14.8					01/25/2013	01/24/2020	Common Stock	9,292 <u>(2)</u>
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	1,724 <u>(3)</u>
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	05/22/2021	Common Stock	2,334 <u>(4)</u>
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	5,517 <u>(5)</u>
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014	05/20/2023	Common Stock	4,970 <u>(6)</u>

## Reporting Owners

**Reporting Owner Name / Address**

**Relationships**

Director    10% Owner    Officer    Other

Woon Eric  
140 JOHN JAMES AUDUBON PARKWAY  
AMHERST, NY 14228

Vice President - APAC

## Signatures

Eric Woon

11/05/2013

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Amended to correct the restricted stock unit information provided in the original filing. Includes 8,139 shares of restricted stock units issued to reporting person, subject to forfeiture in whole or part; 227 shares become fully vested and non-forfeitable on 5/17/2014, 618 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/23/2014, 2,064 units become fully vested and non-forfeitable 33.33% per year for three years beginning 5/21/2014, 2,356 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014, and the remaining 2,874 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.

(2) Amended to correct the information provided in Column 7. Originally granted 10,000 options. The reporting person exercised 708 options on 10/30/2013. 2,625 options are exercisable, subject to IRS limitations and the remaining 6,667 options become exercisable 50% per year for two years beginning 1/25/2014, if reporting person remain an employee of issuer.

(3) Amended to correct the information provided in Columns 7 and 9. Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.

(4) Amended to correct the information provided in Columns 7 and 9. Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.

(5) Amended to correct the information provided in Columns 7 and 9. Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.

(6) Amended to correct the information provided in Columns 7 and 9. Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.