

Eaton Corp plc
Form 3
March 08, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *	2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol
Â Monesmith Heath B.	(Month/Day/Year)	Eaton Corp plc [ETN]
(Last) (First) (Middle)	03/01/2017	
1000 EATON BLVD.		4. Relationship of Reporting Person(s) to Issuer
(Street)		(Check all applicable)
		☐ Director ☐ 10% Owner ☒ Officer ☐ Other (give title below) (specify below) See Remarks below
CLEVELAND, Â OH Â 44122		5. If Amendment, Date Original Filed(Month/Day/Year)
(City) (State) (Zip)		6. Individual or Joint/Group Filing(Check Applicable Line)
		☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Ordinary Shares	25,564	D	Â
Ordinary Shares	2,922.71 ⁽¹⁾	I	by trustee of ESP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
---	---	--	---	---	--

Edgar Filing: Eaton Corp plc - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	Â <u>(2)</u>	Â <u>(3)</u>	Ordinary Shares	375	\$ 0	D	Â
Restricted Stock Units	02/24/2016 ⁽⁴⁾	Â <u>(3)</u>	Ordinary Shares	949	\$ 0	D	Â
Restricted Stock Units	02/23/2017 ⁽⁵⁾	Â <u>(3)</u>	Ordinary Shares	3,304	\$ 0	D	Â
Restricted Stock Units	02/21/2018 ⁽⁶⁾	Â <u>(3)</u>	Ordinary Shares	3,425	\$ 0	D	Â
Restricted Stock Units	02/23/2019 ⁽⁷⁾	Â <u>(3)</u>	Ordinary Shares	4,580	\$ 0	D	Â
Stock Option	02/21/2018 ⁽⁸⁾	02/21/2027	Ordinary Shares	17,200	\$ 71.89	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Monesmith Heath B. 1000 EATON BLVD. CLEVELAND, OH 44122	Â	Â	Â See Remarks below	Â

Signatures

/s/ Lizbeth L. Wright, as
Attorney-in-Fact

03/08/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) These ordinary shares are held in the Eaton Savings Plan.
- (2) These restricted stock units were granted on February 24, 2015 and vest as follows: 25% on the first and second anniversary of the date of the grant and the remaining 50% on the third anniversary of the date of the grant.
- (3) This field is not applicable.
- (4) These restricted stock units were awarded February 24, 2015 and vest as follows: 33% on the first and second anniversary of the date of the grant and the remaining 34% on the third anniversary of the date of the grant.
- (5) These restricted stock units were awarded February 23, 2016 and vest as follows: 33% on the first and second anniversary of the date of the grant and the remaining 34% on the third anniversary of the date of the grant.
- (6) These restricted stock units were awarded February 21, 2017 and vest as follows: 33% on the first and second anniversary of the date of the grant and the remaining 34% on the third anniversary of the date of the grant.
- (7) These restricted stock units vest on the third anniversary of the grant date.
- (8)

Edgar Filing: Eaton Corp plc - Form 3

These stock options become exercisable as follows: 33% on the first and second anniversary of the date of the grant and the remaining 34% on the third anniversary of the date of the grant.

Â

Remarks:

ExecutiveÂ ViceÂ President,Â GeneralÂ CounselÂ andÂ SecretaryÂ ofÂ EatonÂ Corporation,Â aÂ subsidiaryÂ ofÂ theÂ Is

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.