

CABILLOT RAYMOND E
Form 4
November 26, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CABILLOT RAYMOND E

2. Issuer Name and Ticker or Trading Symbol
PRO DEX INC [PDEX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3033 EXCELSIOR BOULEVARD, STE. 560

3. Date of Earliest Transaction (Month/Day/Year)
11/26/2018

Director 10% Owner
 Officer (give title below) Other (specify below)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

MINNEAPOLIS, MN 55416

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
| | | | | (A) or (D) Code V Amount (D) Price | | | |
| Common Stock | 11/26/2018 | | S | 321 D \$ 14.8 | 559,602 | I | By Farnam Street Partners, L.P |
| Common Stock | 11/26/2018 | | S | 456 D \$ 14.65 | 559,146 | I | By Farnam Street Partners, L.P |
| Common Stock | 11/26/2018 | | S | 100 D \$ 14.64 | 559,046 | I | By Farnam |

Edgar Filing: CABILLOT RAYMOND E - Form 4

| | | | | | | | | |
|--------------|------------|---|-------|---|-----------|---------|---|--------------------------------------------------------|
| Common Stock | 11/26/2018 | S | 1,020 | D | \$ 14.62 | 558,026 | I | Street Partners, L.P By Farnam Street Partners, L.P |
| Common Stock | 11/26/2018 | S | 40 | D | \$ 14.6 | 557,986 | I | By Farnam Street Partners, L.P |
| Common Stock | 11/26/2018 | S | 100 | D | \$ 14.575 | 557,886 | I | By Farnam Street Partners, L.P |
| Common Stock | 11/26/2018 | S | 100 | D | \$ 14.515 | 557,786 | I | By Farnam Street Partners, L.P |
| Common Stock | 11/26/2018 | S | 200 | D | \$ 14.51 | 557,586 | I | By Farnam Street Partners, L.P |
| Common Stock | 11/26/2018 | S | 300 | D | \$ 14.5 | 557,286 | I | By Farnam Street Partners, L.P |
| Common Stock | 11/26/2018 | S | 100 | D | \$ 14.485 | 557,186 | I | By Farnam Street Partners, L.P |
| Common Stock | 11/26/2018 | S | 100 | D | \$ 14.48 | 557,086 | I | By Farnam Street Partners, L.P |
| Common Stock | 11/26/2018 | S | 300 | D | \$ 14.47 | 556,786 | I | By Farnam Street |

Edgar Filing: CABILLOT RAYMOND E - Form 4

| | | | | | | | | |
|--------------|------------|---|-------|---|-----------|---------|---|-------------------------------------------------|
| Common Stock | 11/26/2018 | S | 200 | D | \$ 14.455 | 556,586 | I | Partners, L.P By Farnam Street Partners, L.P |
| Common Stock | 11/26/2018 | S | 300 | D | \$ 14.45 | 556,286 | I | By Farnam Street Partners, L.P |
| Common Stock | 11/26/2018 | S | 600 | D | \$ 14.44 | 555,686 | I | By Farnam Street Partners, L.P |
| Common Stock | 11/26/2018 | S | 131 | D | \$ 14.435 | 555,555 | I | By Farnam Street Partners, L.P |
| Common Stock | 11/26/2018 | S | 200 | D | \$ 14.43 | 555,355 | I | By Farnam Street Partners, L.P |
| Common Stock | 11/26/2018 | S | 135 | D | \$ 14.42 | 555,220 | I | By Farnam Street Partners, L.P |
| Common Stock | 11/26/2018 | S | 4,988 | D | \$ 14.4 | 550,232 | I | By Farnam Street Partners, L.P |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: CABILLOT RAYMOND E - Form 4

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|--------------------------------------------|----------------------------------------------------|
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|--------------------------------------------|----------------------------------------------------|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|-------------------------------------------------------------------------------------|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| CABILLOT RAYMOND E 3033 EXCELSIOR BOULEVARD STE. 560 MINNEAPOLIS, MN 55416 | X | X | | |
| FARNAM STREET PARTNERS LP /MN 3033 EXCELSIOR BOULEVARD MINNEAPOLIS, MN 55416 | | X | | |

Signatures

| | |
|--------------------------------------------------------------------------|------------|
| /s/ Alisha Charlton as attorney-in-fact for Raymond E. Cabillot | 11/26/2018 |
| __Signature of Reporting Person | Date |
| /s/ Alisha Charlton as attorney-in-fact for Farnam Street Partners, L.P. | 11/26/2018 |
| __Signature of Reporting Person | Date |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.