



Inc.  
Common  
Stock

ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| Non-Qualified Stock Option (right to buy)  | \$ 20.19   |                                      |  |                                |   | 01/15/2011 <sup>(2)</sup> 01/15/2020                     | NBT Bancorp Inc. Common Stock 9,000                           |
| Non-Qualified Stock Option (right to buy)  | \$ 25.38   |                                      |  |                                |   | 01/15/2010 <sup>(2)</sup> 01/15/2019                     | NBT Bancorp Inc. Common Stock 13,000                          |
| Non-Qualified Stock Option (right to buy)  | \$ 25.762  |                                      |  |                                |   | 01/01/2008 <sup>(3)</sup> 01/01/2017                     | NBT Bancorp Inc. Common Stock 9,000                           |

## Reporting Owners

| Reporting Owner Name / Address | Relationships |           |                              |       |
|--------------------------------|---------------|-----------|------------------------------|-------|
|                                | Director      | 10% Owner | Officer                      | Other |
|                                |               |           | EVP/ Pres Commercial Banking |       |

LEVY JEFFREY M  
52 SOUTH BROAD STREET  
NORWICH, NY 13815

## Signatures

By: F. Sheldon Prentice, Power of Attorney For: Jeffrey M.  
Levy

01/07/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The sale price is an average of the 2,086 shares sold at prices between \$26.47 and \$27.04.
- (2) Pursuant to NBT Bancorp Inc. Omnibus Stock Option Agreement the grant vests 40% for first year, 20% annually for following years.
- (3) Pursuant to NBT Bancorp Inc. 1993 Stock Option Plan grant vests 40% for first year, 20% annually for following years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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