

Stone Lydia  
Form 4  
September 04, 2018

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Stone Lydia

2. Issuer Name and Ticker or Trading Symbol  
Evolent Health, Inc. [EVH]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_ Other (specify below)

Chief Accounting Officer

C/O EVOLENT HEALTH, INC., 800 N. GLEBE ROAD, SUITE 500

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

(Street)  
ARLINGTON, VA 22203

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Class A Common Stock	08/30/2018		S <sup>(1)</sup>		1,365	D	\$ 25
					15,390		<sup>(2)</sup>
Class A Common Stock	08/30/2018		M <sup>(1)</sup>		1,000	A	\$ 6.87
					16,390		<sup>(2)</sup>
Class A Common Stock	08/30/2018		S <sup>(1)</sup>		1,000	D	\$ 25
					15,390		<sup>(2)</sup>
Class A Common Stock	08/30/2018		M <sup>(1)</sup>		2,908	A	\$
					18,298		<sup>(2)</sup>

Edgar Filing: Stone Lydia - Form 4

Common Stock						10.27	
Class A Common Stock	08/30/2018		S <sup>(1)</sup>	2,908	D	\$ 25	15,390 <sup>(2)</sup> D
Class A Common Stock	08/30/2018		M <sup>(1)</sup>	1,532	A	\$ 18.25	16,922 <sup>(2)</sup> D
Class A Common Stock	08/30/2018		S <sup>(1)</sup>	1,532	D	\$ 25	15,390 <sup>(2)</sup> D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Class A Common Stock Option (right to buy)	\$ 6.87	08/30/2018		M <sup>(1)</sup>	1,000	<sup>(3)</sup> 01/01/2025	Class A Common Stock	1,000
Class A Common Stock Option (right to buy)	\$ 10.27	08/30/2018		M <sup>(1)</sup>	2,908	<sup>(4)</sup> 03/01/2026	Class A Common Stock	2,908
Class A Common Stock Option (right to buy)	\$ 18.25	08/30/2018		M <sup>(1)</sup>	1,532	<sup>(5)</sup> 02/01/2027	Class A Common Stock	1,532

