#### Edgar Filing: COMMUNITY TRUST BANCORP INC /KY/ - Form 5/A

#### COMMUNITY TRUST BANCORP INC /KY/

Form 5/A March 16, 2017

**OMB APPROVAL** FORM 5

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

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January 31,

**OMB** 

Number:

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4

Transactions Reported

1. Name and Address of Reporting Person * NEWSOM RICHARD W			2. Issuer Name <b>and</b> Ticker or Trading Symbol COMMUNITY TRUST BANCORP INC /KY/ [CTBI]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)
(Last) PO BOX 294	(First)	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2016	Director 10% Owner _X_ Officer (give title Other (specify below)  Executive Vice President
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year) 01/26/2017	6. Individual or Joint/Group Reporting  (check applicable line)
PIKEVILLE,	KY 415	502-2947		_X_ Form Filed by One Reporting Person

Form Filed by More than One Reporting Person

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common Stock	04/01/2016	Â	<u>J(1)</u>	70.3026	A	\$ 35.79	9,045.8365	D	Â		
Common Stock	05/04/2016	Â	I4	5,460.6471	D	\$ 35.45	9,096.3903	I	By 401(k)		
Common Stock	07/01/2016	Â	<u>J(1)</u>	74.2733	A	\$ 34.17	9,120.1098	D	Â		
Common Stock	10/03/2016	Â	<u>J(1)</u>	72.4263	A	\$ 36.5	9,192.5361	D	Â		
	12/31/2016	Â	J(2)	680.5561	A	\$ 0 (2)	10,971.1996	I	By ESOP		

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Common Stock

Common Stock 12/31/2016  $\hat{A}$   $J_{\underline{(3)}}$  495.4311  $\hat{A}$  \$ 0  $\underline{(3)}$  9,591.8214  $\hat{I}$  By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270

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9. of D So

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

NEWSOM RICHARD W PO BOX 2947

Â Êxecutive Vice President Â

PIKEVILLE, KYÂ 41502-2947

# **Signatures**

Richard W. Newsom By: Marilyn T. Justice,
Attorney-in-Fact
03/16/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Community Trust Bancorp, Inc. Dividend Reinvestment Plan Shares.

These shares were acquired during the fiscal year under the Community Trust Bancorp, Inc. Employee Stock Ownership Plan at a price (2) range of \$34.10-\$36.50 per share in transactions that were exempt from Section 16(b) by virtue of old rule 16a-8(b). The information reported herein is based on plan statement dated December 31, 2016.

(3)

Reporting Owners 2

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These shares were acquired during the fiscal year under the Community Trust Bancorp, Inc. 401(k) Plan at a price range of \$34.10-\$36.50 per share in transactions that were exempt by virtue of old rule 16a-8(b) and new Rule 16b-3(d) (2). The information reported herein is based on plan statement dated December 31, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.