Lamm Jacob Form 4 May 15, 2013

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Lamm Jacob

(First) (Middle)

ONE CA PLAZA

ISLANDIA, NY 11749

(Last)

(Street)

Filed(Month/Day/Year)

2. Issuer Name and Ticker or Trading Symbol

CA, INC. [CA]

3. Date of Earliest Transaction

(Month/Day/Year) 05/13/2013

4. If Amendment, Date Original

**OMB APPROVAL** 

**OMB** Number:

3235-0287

January 31, Expires:

Estimated average burden hours per

response...

0.5

2005

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner \_X\_\_ Officer (give title . \_ Other (specify

below)

EVP, STRATEGY & CORPORATE DEV

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, \$.10 par value	05/14/2013		Code V M	Amount 28,286	(D)	Price \$ 21.77	· ·	D		
Common Stock, \$.10 par value	05/14/2013		M	10,000	A	\$ 24.28	91,766	D		
Common Stock, \$.10 par value	05/14/2013		M	16,040	A	\$ 19.46	107,806	D		
Common Stock, \$.10	05/14/2013		M	8,430	A	\$ 21.78	116,236	D		

#### Edgar Filing: Lamm Jacob - Form 4

par value

Common Stock, \$.10 05/14/2013 par value	S	77,225	D	\$ 27.15	39,011	D	
Common Stock, \$.10 par value					163.519	I	401(k) Plan (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities Acquired (Instr. 8) (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Employee Stock Option (right to buy)	\$ 26.98	05/13/2013		A	62,379		(2)	05/13/2023	Common Stock, \$.10 par value	62,3
Employee Stock Option (right to buy)	\$ 21.77	05/14/2013		M		28,286	(3)	08/02/2016	Common Stock, \$.10 par value	28,2
Employee Stock Option (right to buy)	\$ 24.28	05/14/2013		M		10,000	(4)	09/14/2016	Common Stock, \$.10 par value	10,0
Employee Stock Option (right to buy)	\$ 19.46	05/14/2013		M		16,040	<u>(5)</u>	06/25/2017	Common Stock, \$.10 par value	16,0
	\$ 21.78	05/14/2013		M		8,430	<u>(6)</u>	06/14/2018		8,4

Employee Stock Option (right to buy) Common Stock, \$.10 par value

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Lamm Jacob ONE CA PLAZA ISLANDIA, NY 11749

EVP, STRATEGY & CORPORATE DEV

# **Signatures**

/s/ Jacob Lamm by Brandt Schmidt as attorney-in-fact

05/15/2013 Date

\*\*Signature of Reporting Person

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held in the CA Savings Harvest Plan, a 401(k) Plan. Information presented as of May 7, 2013.
- (2) The option becomes exercisable over three years as follows: 34% on May 13, 2014, 33% on May 13, 2015 and 33% on May 13, 2016.
- (3) This option became exercisable over a three-year period as follows: 34% on August 2, 2007, 33% on August 2, 2008 and 33% on August 2, 2009.
- (4) This option became exercisable over a three-year period as follows: 34% on September 14, 2007, 33% on September 14, 2008 and 33% on September 14, 2009.
- (5) This option becomes exercisable over a three-year period as follows: 34% on June 25, 2011, 33% on June 25, 2012 and 33% on June 25, 2013.
- (6) This option becomes exercisable over a three-year period as follows: 34% on June 14, 2012, 33% on June 14, 2013 and 33% on June 14, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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