### Edgar Filing: MODINE MANUFACTURING CO - Form 4

### MODINE MANUFACTURING CO

Form 4 January 27, 2003

### FORM 4

[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL

**OMB** 

Number: 3235-0287 Expires: January 31,

2005

Estimated average

burden hours per

response......0.5

## (Print or Type Responses)

(Print or Type	Responses)									
1. Name and Address of Reporting Person*			2. Issuer Name <b>and</b> Ticker or Trading Symbol				6. Relationship of Reporting to Issuer  (Check all application)			
			Modine	Manufacturii	ng Company - MODI		Directdi0% Owner			
Harper, C. C.					X	Office Other (specify (give below) title below)				
					Chief Information Of					
(Last)	(First)	(Middle)		entification of Reporting	4. Statement for Month/Day/Year					
Modine Manufacturing Company 1500 DeKoven Avenue  (Street)  Racine WI 53403				f an entity	1/24/03	7. Individual or Joint/Group l (Check Applicable Line)				
					5. If Amendment, Date of Original (Month/Day/Year)	x	Form filed by One Report Person			
							Form filed by More tha Reporting Person			
(City)	(State)	(Zip)	Table I	— Non-Deri	d, Disposed of, or Beneficially					
1. Title of Security (Instr. 3)			2. Transaction Date	2A. Deemed Execution Date, if any	3. Trans4. Securities Acquestion(A)  Code or Disposed of (Instr.8)(Instr. 3, 4 and	f (D)	5. Am <b>6u O</b> wner- of ship Securition: Benefi <b>Dinky</b> t Owned(D) or			

# Edgar Filing: MODINE MANUFACTURING CO - Form 4

			(Mor Day Year	r/ Da	nth/ y/	ode V	Amount	(A) or (D)	Price	Re	low <b>img</b> ire port <b>(I)</b> insaction( (Instr. 4 str.	s)
Common Sto	ck, \$0.625 Par	r	1/23/	03		S	178	D	10,452	(1) (2) (3)	D	
									(1)			
					_	4			(2)			$\perp$
							<del>                                     </del>		(3)			
and cash com Reminder: Rep * If the form is	oort on a sepai					Pe to inf co. in rec un dis a c		espond on of e not pond n	·		(Over) CC 1474 (9-02)	
FORM 4 (continued)				Table II –			e Securities calls, warra	-				•
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Deri-	3. Transaction Date	3A. Deemed Execution Date, if any	4. Transaction Code (Instr.8)	5. Nur of Der ativ Securi Ac-	iv- e	6. Date I cisable Expira Date (Monte	e and	Amo Ur Secu	tle and unt of derlying rities astr. 3 a		8. Pri of De ativ

Edgar Filing: MODINE MANUFACTURING CO - Form 4

	vative Security	(Month/ Day/ Year)	(Month/ Day/ Year)	quired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Year)				ity (In 5)		
				Code	V	(A)	(D)	Date Exer- cisable	Expira- tion Date	Title	Amount or Number of Shares	
Options with tandem tax withholding rights	\$33.9375	1/21/98		A		3,000		1/21/ 1998	1/21/ 2008	Common Stock	3,000	
Options with tandem tax withholding rights	\$33.25	1/20/99		A		5,000		1/20/ 1999	1/20 2009	Common Stock	5,000	
Options with tandem tax withholding rights	\$25.00	1/19/00		A		7,500		1/19/ 2000	1/19/ 2010	Common Stock	7,500	
Options with tandem tax withholding rights	\$22.78	1/16/02		A		10,000		1/16/ 2002	1/16/ 2012	Common Stock	10,000	
Options with tandem tax withholding rights	\$18.53	1/06/03		A		5,600		1/06/2003	1/06/ 2013	Common Stock	5,600	

Explanation of Responses:

The plans under which these options were granted, which complies with Rule 16b-3, allows for the provision of tax withholding rights.

The Reporting Person is a participant in the Modine Employee Stock Ownership Plan (ESOP), Modine Common Stock Fund Units and the Modine Manufacturing Company Pension Trusts. The entities (with the exception of the Modine Common Stock Fund) are also Reporting Persons pursuant to Section 16(a) and files separate statements. Shares held by the Reporting Person in the Plan or Trust are reported on this Statement and on statements filed by the Plan.

# Edgar Filing: MODINE MANUFACTURING CO - Form 4

	/s/ Carlton C. Harper	January 24, 2003
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.	**Signature of Reporting Person	Date
See		
18 U.S.C. 1001 and 15 U.S.C. 78ff(a).		
Note: File three copies of this Form, on manually signed. If space is insufficien see Instruction 6 for procedure.		

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Page 2