

NATUS MEDICAL INC
Form 8-K
June 23, 2017

SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 OR 15(d)
of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): June 21, 2017

Natus Medical Incorporated
(Exact name of registrant as specified in its charter)

Delaware 000-33001 77-0154833
(State or other jurisdiction (Commission (IRS Employer
of incorporation) File Number) Identification No.)

6701 Koll Center Parkway Suite 120 Pleasanton, CA 94566
(Address of principal executive offices) (Zip Code)

Registrant's telephone number, including area code: (925) 223-6700

Not applicable
(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))



ITEM 5.07. Submission of Matters to a Vote of Security Holders

On June 21, 2017, Natus Medical Incorporated (the “Company”) held its annual meeting of stockholders. At this meeting, Kenneth E. Ludlum and Barbara R. Paul were re-elected to serve on the Board of Directors for a three-year term until 2020. Additionally, shareholders ratified the appointment of KPMG as the Company’s independent registered public accounting firm for the fiscal year ending December 31, 2017 and provided advisory approval of the Company’s named executive officer compensation and recommendation of the frequency of voting.

Proposal 1: Election of Directors

Nominee

| | For | Against | Abstain | Broker Non-Votes |
|-------------------|------------|-----------|---------|---------------------|
| Kenneth E. Ludlum | 25,284,630 | 1,261,855 | 9,350 | 4,356,069 |
| Barbara R. Paul | 25,672,816 | 874,412 | 8,607 | 4,356,069 |

Proposal 2: Ratification of KPMG as Auditors

| For | Against | Abstain |
|------------|---------|---------|
| 30,445,887 | 15,257 | 450,760 |

Proposal 3: Recommend Frequency of Advisory Vote on Executive Compensation

| 1 Year | 2 Years | 3 Years | Abstain |
|------------|---------|-----------|---------|
| 21,872,989 | 28,394 | 4,634,548 | 19,904 |

Proposal 4: Advisory Approval of the Company’s Executive Compensation

| For | Against | Abstain | Broker Non-Votes |
|------------|-----------|---------|---------------------|
| 23,985,666 | 2,447,485 | 122,684 | 4,356,069 |

No other matters were considered or voted upon at the meeting.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

NATUS MEDICAL INCORPORATED

Date: June 23, 2016 By: /s/ Jonathan A. Kennedy
Jonathan A. Kennedy
Executive President Finance & Chief Financial Officer