**MARCUS CORP** Form 4 January 03, 2007

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

(Print or Type Responses)

1. Name and A Marcus Gre	Symbol	Name and	Ticker or Trading  [MCS]	Issuer					
(Last) (First) (Middle) 3. D (Mo C/O THE MARCUS CORPORATION, 100 EAST WISCONSIN AVENUE, SUITE			Earliest Tr ay/Year) 306	ansaction	Director X Officer (g	X_ Officer (give title Other (specify			
1900	(Street)	Filed(Mon	ndment, Da nth/Day/Year	· ·	Applicable Line) _X_ Form filed by	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
	ŕ		Person						
(City)	(State)	(Zip) Table	e I - Non-D	erivative Securitie	s Acquired, Disposed	of, or Beneficia	ally Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code (Instr. 8)	4. Securities on Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock			Code V	Amount (D) F	25,195	D			
Common Stock					75	I	As custodian (1)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

By 401(k)

Plan

3,314 (2)

Ι

#### Edgar Filing: MARCUS CORP - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	<u>(3)</u>	12/28/2006		G	V	563		<u>(4)</u>	<u>(5)</u>	Common Stock	563
Class B Common Stock	<u>(3)</u>	12/28/2006		G	V	1,689	)	<u>(4)</u>	<u>(5)</u>	Common Stock	1,689
Class B Common Stock	\$ 0 (3)							<u>(4)</u>	<u>(5)</u>	Common Stock	31,800
Class B Common Stock	\$ 0 (3)							<u>(4)</u>	<u>(5)</u>	Common Stock	10,667
Stock Option (right to buy) (granted 6/26/97)	\$ 11.57							<u>(8)</u>	06/26/2007	Common Stock	2,139
Stock Option (right to buy) (granted 6/25/98)	\$ 11.88							<u>(8)</u>	06/25/2008	Common Stock	2,139
Stock Option (right to buy)	\$ 8.64							(8)	06/30/2009	Common Stock	4,990

### Edgar Filing: MARCUS CORP - Form 4

(granted 6/30/99)					
Stock Option (right to buy) (granted 6/28/00)	\$ 8.02	<u>(8)</u>	06/28/2010	Common Stock	21,387
Stock Option (right to buy) (granted 7/11/02)	\$ 10.91	(8)	07/11/2012	Common Stock	35,645
Stock Option (right to buy) (granted 9/8/03)	\$ 10.25	(8)	09/08/2013	Common Stock	10,693
Stock Option (right to buy) (granted 8/18/04)	\$ 12.73	(8)	08/18/2014	Common Stock	10,694
Stock Option (right to buy) (granted 10/6/05)	\$ 14.07	(8)	10/06/2015	Common Stock	14,258
Stock Option (right to buy) (granted 7/31/06)	\$ 19.74	<u>(8)</u>	07/31/2016	Common Stock	15,000

# **Reporting Owners**

Reporting Owner Name / Address	Kelationships						
. 0	Director	10% Owner	Officer	Other			
Marcus Gregory S			Senior Vice President				
C/O THE MARCUS CORPORATION							
100 EAST WISCONSIN AVENUE, SUITE 1900							

Reporting Owners 3

MILWAUKEE, WI 532024125

## **Signatures**

By: Steven R. Barth, Attorney-In-Fact

01/03/2007

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) As sole custodian of the Alexandra Marcus U/WI/UTMA.
- (2) Balance reflects the most current data available with regard to the reporting person's holdings in the 401(k) Plan.
- (3) This security is convertible into common stock on a 1-for-1 basis at no cost.
- (4) This security is immediately exercisable.
- (5) No expiration date.
- (6) As trustee of the Gabriella Marcus Trust, the Daniella Marcus Trust and the Jessica Marcus Trust.
- (7) As sole custodian of the Alexandra Marcus U/WI/UTMA, the Michael Marcus U/WI/UTMA, and the Samantha Marcus U/WI/UTMA.
- (8) The options originally granted vest and become exercisable as follows: 40% after 2nd anniversary of the date of grant; 60% after 3rd anniversary; 80% after 4th anniversary; and 100% after 5 years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4