

HOPKINS THOMAS E  
Form 4  
April 30, 2003

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response. . .0.5

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By  
Romeo and Dye's  
Section 16 Filer  
www.section16.net

| 1. Name and Address of Reporting Person* |                                      |  | 2. Issuer Name and Ticker or Trading Symbol   |   |   |            | 6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  |  |  |                                   |
|--|--------------------------------------|--|---|---|---|------------|--|--|--|-----------------------------------|
| <b>Hopkins, Thomas E.</b>                |                                      |  | <b>The Sherwin-Williams Company SHW</b>   |   |   |            | <input type="checkbox"/> Director<br><input type="checkbox"/> 10% Owner<br><input checked="" type="checkbox"/> Officer (give title below)<br>Other (specify below) |  |  |                                   |
| (Last) (First) (Middle)                  |                                      |  | 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)         |   | 4. Statement for Month/Day/Year                                 |            | <input checked="" type="checkbox"/> <b>Senior Vice President-Human Resources</b>   |  |  |                                   |
| 101 Prospect Avenue, N.W.                |                                      |  |   |   |   |            |  |  | 4/29/03  |                                   |
| (Street)                                 |                                      |  | 5. If Amendment, Date of Original (Month/Day/Year)                                    |   | 7. Individual or Joint/Group Filing (Check Applicable Line)     |            | <input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person                    |  |  |                                   |
| Cleveland, OH 44115                      |                                      |  |   |   |   |            |  |  |  |                                   |
| (City) (State) (Zip)                     |                                      |  | <b>Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b> |   |   |            |  |  |  |                                   |
| 1. Title of Security (Instr. 3)          | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8)  |   | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5) |            |  | 5. Amount of Securities Beneficially Owned Following Reported Transactions(s) (Instr. 3 & 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|  |                                      |  | Code  | V | Amount  | (A) or (D) | Price  |  |  |                                   |
| Common Stock                             | 04/29/03                             |  | M   |   | 246   | A          | 16.3438  |  |  |                                   |
| Common Stock                             | 04/29/03                             |  | M   |   | 5,000   | A          | 20.7188  |  |  |                                   |
| Common Stock                             | 04/29/03                             |  | M   |   | 860   | A          | 25.0625  |  |  |                                   |
| Common Stock                             | 04/29/03                             |  | F <sup>(1)</sup>  |   | 4,623   | D          | 27.93  | 31,661 <sup>(2)</sup>  | D  |                                   |
| Common Stock                             |                                      |  |   |   |   |            |  | 23,915.4554 <sup>(3)</sup>   | I  | Stock Plan                        |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

**FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
**(e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security<br>(Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed Execution Date, if any<br>(Month/Day/Year) | 4. Transaction Code<br>(Instr. 8) |   | 5. Number of Derivative Securities Acquired (A) or Disposed of (D)<br>(Instr. 3, 4 & 5) |     | 6. Date Exercisable and Expiration Date<br>(Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities<br>(Instr. 3 & 4) |                            | 8. Price of Derivative Security<br>(Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s)<br>(Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I)<br>(Instr. 4) | 11. Nature of Indirect Beneficial Ownership<br>(Instr. 4) |
|---|--|---|---|-----------------------------------|---|---|-----|---|-----------------|--|----------------------------|---|---|---|---|
|   |  |   |   | Code                              | V | (A)   | (D) | Date Exercisable  | Expiration Date | Title  | Amount or Number of Shares |   |   |   |   |
| Employee Stock Option (Right to Buy)          | 16.3438  | 04/29/03                                |   | M                                 |   | 246   |     | 01/23/98  | 01/23/05        | Common Stock   | 246                        |   | 0   | D   |   |
| Employee Stock Option (Right to Buy)          | 20.7188  | 04/29/03                                |   | M                                 |   | 1,666   |     | 01/24/97  | 01/24/06        | Common Stock   | 1,666                      |   | 0   | D   |   |
| Employee Stock Option (Right to Buy)          | 20.7188  | 04/29/03                                |   | M                                 |   | 1,667   |     | 01/24/98  | 01/24/06        | Common Stock   | 1,667                      |   | 0   | D   |   |
| Employee Stock Option (Right to Buy)          | 20.7188  | 04/29/03                                |   | M                                 |   | 1,667   |     | 01/24/99  | 01/24/06        | Common Stock   | 1,667                      |   | 0   | D   |   |
| Employee Stock Option (Right to Buy)          | 25.0625  | 04/29/03                                |   | M                                 |   | 860   |     | 02/03/02  | 02/02/09        | Common Stock   | 860                        |   | 3,130   | D   |   |

## Explanation of Responses:

(1) Shares were used to pay the option price in an exempt transaction.

(2) Of shares listed, 20,000 are restricted.

(3) Represents the approximate number of shares of common stock for which the Reporting Person has the right to direct the vote under The Sherwin-Williams Company Employee Stock Purchase and Savings Plan per the trustee's 3/31/03 statement. Shares of common stock are not directly allocated to Plan participants, but are instead held in a unitized fund consisting primarily of common stock and a small percentage of short-term investments. Participants acquire units of this fund.

By: /s/ **Thomas E. Hopkins**

**04/30/03**  
Date

\*\*Signature of Reporting Person

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

## Edgar Filing: HOPKINS THOMAS E - Form 4

Note: File three copies of this Form, one of which must be manually signed.  
If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.