

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

TRI-CONTINENTAL CORP
Form NSAR-A
August 26, 2011

PAGE 1
000 A000000 06/30/2011
000 C000000 0000099614
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 TRI-CONTINENTAL CORPORATION
001 B000000 811-00266
001 C000000 6126715682
002 A000000 50606 AMERIPRISE FINANCIAL CENTER
002 B000000 MINNEAPOLIS
002 C000000 MN
002 D010000 55474
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008 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
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008 D020001 MA
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012 A000001 COLUMBIA MANAGEMENT INVESTMENT SERVICES CORP.
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013 A000001 ERNST & YOUNG LLP
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013 B020001 MN
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014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.
014 B000001 8-25845
014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.
014 B000002 8-42582
014 A000003 SECURITIES AMERICA, INC.
014 B000003 8-26602
014 A000004 AMERIPRISE FINANCIAL SERVICES, INC.
014 B000004 8-16791
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014 A000005 RIVERSOURCE DISTRIBUTORS, INC.
014 B000005 8-67196
015 A000001 JPMORGAN CHASE BANK, N.A.
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015 C010001 NEW YORK
015 C020001 NY

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020 A000003 INSTINET LLC
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020 A000005 J.P. MORGAN SECURITIES, INC.
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020 A000007 LIQUIDNET, INC.
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025 A000002 FRANKLIN RESOURCES, INC.
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025 A000003 The Goldman Sachs Group, Inc.
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PAGE 6

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087	A010000	TRI-CONTINENTAL - COMMON STOCK
087	A020000	895436103
087	A030000	TY
087	B010000	TRI-CONTINENTAL - PREFERRED STOCK

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087 B020000 895436202
087 B030000 TY
087 C010000 TRI-CONTINENTAL - WARRANT
087 C020000 895436111
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 Y
SIGNATURE SEE BELOW
TITLE SEE BELOW

This report is signed on behalf of the registrant (or depositor or trustee).

City of: Boston State of: MA Date: August 19, 2011

Name of Registrant, Depositor, or Trustee: Tri-Continental Corporation

/s/ Michael G. Clarke

Michael G. Clarke
Treasurer

/s/ Wade M. Voigt

Wade M. Voigt
Assistant Treasurer
Witness

TRI-CONTINENTAL CORPORATION