Edgar Filing: PEOPLES FINANCIAL SERVICES CORP. - Form 4

PEOPLES FINANCIAL SERVICES CORP.

Form 4 March 16, 2017

Stock

Stock

Common

FORM 4 UNITED STATES SECURITIES AND EVCHANCE COMMISSION								OMB APPROVAL		
Washington, D.C. 20549								OMB Number:	3235-0287	
Check this box if no longer GENATION OF CHANGES IN DENIENCIAL ON MEDICINE OF							Expires:	January 31,		
subject to Section 1 Form 4 c Form 5 obligation may con	STATEM 16. or Filed purs tinue.	suant to Section 2 a) of the Public U 30(h) of the In	SECURIT 16(a) of the S Utility Holdin	es Exe	chan Act (ge Act of 1934, of 1935 or Section	Estimated burden ho response	ted average hours per		
1(b).	uction	. ,								
(Print or Type Responses)										
	Address of Reporting I	Symbol	2. Issuer Name and Ticker or Trading ymbol				5. Relationship of Reporting Person(s) to Issuer			
		PEOPI CORP.	ES FINANO [PFIS]	CIAL S	ERVI	ICES	(Check all applicable)			
(Last)	(First) (M	y o				Director X Officer (given the content of t)% Owner ther (specify		
C/O PEOPI & TRUST (WASHING			(onth/Day/Year) 1/13/2017				below) EVP, Chief Lending Officer			
		Amendment, Date Original d(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
SCRANTO	N, PA 18503							More than One		
(City)	(State)	(Zip) Tab	le I - Non-Der	ivative S	Securiti	ies Ac	equired, Disposed	of, or Benefici	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A)			Securities F Beneficially (I Owned In	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
			Code V A	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	03/13/2017		A(1) 4	161	A 5	\$ 0	461	I	Restricted Stock Award	
Common Stock							13,678.412	D		
Common							5.045.122	ĭ	IRA	

IRA

PSB&T

Employee

5,045.122

454.2037

I

I

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Stock Ownership Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercis	able and	7. Titl	le and	8. Pr
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration Date	ration Date		ınt of	Deri
Security	or Exercise		any	Code	of	(Month/Day/Ye	ear)	Under	rlying	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative		e		Secur	ities	(Inst
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
						Date E	Expiration	Title	Or	
						Exercisable D	Date	Title	Number	
				C = V	(A) (D)				of	
				Code V	(A) (D)				Shares	

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

TULANEY THOMAS P C/O PEOPLES SECURITY BANK & TRUST CO. 150 N WASHINGTON AVE SCRANTON, PA 18503

EVP, Chief Lending Officer

Signatures

Carla Olenchak, Attorney-in-Fact for Thomas P 03/16/2017 Tulaney

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This stock award vests in 3 equal annual tranches beginning March 18, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

Price of 9. Nu rivative Deriv Secu Bene Own

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