

Seneca Foods Corp
Form 5
May 06, 2013

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).
Form 3 Holdings
Reported
Form 4
Transactions
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0362
Expires: January 31,
2005
Estimated average
burden hours per
response... 1.0

1. Name and Address of Reporting Person *
STUART SUSAN W

(Last) (First) (Middle)

C/O SENECA FOODS
CORP, 3736 SOUTH MAIN
STREET

(Street)

2. Issuer Name and Ticker or Trading
Symbol
Seneca Foods Corp [SENEA]

3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
03/31/2013

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

4. If Amendment, Date Original
Filed (Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

MARION, NY 14505

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D) Price		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Seneca Foods Class A Common	Â	Â	Â	Â	Â	Â	57,214	D	Â
Seneca Foods Class A Common	Â	Â	Â	Â	Â	Â	12,616	I	By Spouse

Edgar Filing: Seneca Foods Corp - Form 5

Seneca Foods Class B Common	Â	Â	Â	Â	Â	Â	63,492	D	Â
Seneca Foods Class B Common	Â	Â	Â	Â	Â	Â	18,894	I	By Spouse
6% Preferred	Â	Â	Â	Â	Â	Â	25,296	D	Â
Preferred Class A Series A	12/27/2012 ⁽¹⁾	Â	G	18,000	A	\$ ⁽³⁾	18,000	D	Â
Preferred Class A Series A	12/27/2012 ⁽²⁾	Â	G	18,000	A	\$ ⁽³⁾	18,000	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of Derivative Securities (Instr. 5)
						Date Exercisable Expiration Date	Title	Amount or Number of Shares	
					(A) (D)				

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
STUART SUSAN W C/O SENECA FOODS CORP 3736 SOUTH MAIN STREET MARION, NY 14505	Â X	Â	Â	Â

Signatures

Jeffrey Van Riper, Attorney
in Fact

05/06/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person acquired 8,700 shares of Class A Series A Preferred Stock in gift transactions on December 27, 2012 and January 8, 2013.
- (2) The Reporting Person's spouse acquired 8,700 shares of Class A Series A Preferred Stock in gift transactions on December 27, 2012 and January 8, 2013.
- (3) No price since this is a gift.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.