Raptor Pharmaceutical Corp Form 3 November 04, 2014

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Raptor Pharmaceutical Corp [RPTP] Lanza Frank J (Month/Day/Year) 10/23/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O RAPTOR (Check all applicable) **PHARMACEUTICAL** CORP. 7 HAMILTON 10% Owner Director LANDING, SUITE 100 Officer \_\_X\_\_ Other (give title below) (specify below) (Street) 6. Individual or Joint/Group Principal Financial Officer Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person NOVATO, CAÂ 94949 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (Instr. 5) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Title and Amount of 1. Title of Derivative Security 2. Date Exercisable and 4. 6. Nature of Indirect 5. Securities Underlying **Expiration Date** Ownership Beneficial Ownership (Instr. 4) Conversion (Month/Day/Year) Derivative Security or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security:

#### Edgar Filing: Raptor Pharmaceutical Corp - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock options (right to buy)	(1)	03/04/2023	Common Stock	50,000	\$ 5.05	D	Â
Stock options (right to buy)	(2)	02/07/2024	Common Stock	11,173	\$ 14.74	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Lanza Frank J C/O RAPTOR PHARMACEUTICAL CORP 7 HAMILTON LANDING, SUITE 100 NOVATO. CA 94949	Â	Â	Â	Principal Financial Officer		

## **Signatures**

/s/ Mark Jones, Raptor Pharmaceutical Corp., Attorney-in-Fact

11/04/2014

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock options vest 6/48ths on August 19, 2013 and 1/48th per month thereafter.
- (2) Stock options vest 6/48ths on August 7, 2014 and 1/48th per month thereafter.

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#### **Remarks:**

Mr. Lanza will serve as Raptor's Interim Principal Financial and Accounting Officer until a Chief F

#### Exhibit 24 - Power of Attorney attached.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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