

MINOGUE MICHAEL R

Form 4

June 25, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
MINOGUE MICHAEL R

(Last) (First) (Middle)

C/O ABIOMED, INC., 22
CHERRY HILL DRIVE

(Street)

DANVERS, MA 01923

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
ABIOMED INC [ABMD]

3. Date of Earliest Transaction
(Month/Day/Year)
06/21/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chairman, President, CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock \$.01 par value	06/21/2018		M ⁽⁵⁾		105,000 ⁽⁵⁾	A	\$ 10.03	481,150	D
Common Stock \$.01 par value	06/21/2018		S ⁽⁶⁾		2,400 ⁽⁶⁾	D	\$ 434.4738 ⁽⁷⁾	478,750	D
Common Stock \$.01 par value	06/21/2018		S ⁽⁶⁾		7,984 ⁽⁶⁾	D	\$ 435.6334 ⁽⁸⁾	470,766	D

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Common Stock \$.01 par value	06/21/2018	S ⁽⁶⁾	5,481 ⁽⁶⁾	D	\$ 436.7592 ⁽⁹⁾	465,285	D
Common Stock \$.01 par value	06/21/2018	S ⁽⁶⁾	8,009 ⁽⁶⁾	D	\$ 437.884 ⁽¹⁰⁾	457,276	D
Common Stock \$.01 par value	06/21/2018	S ⁽⁶⁾	30,611 ⁽⁶⁾	D	\$ 438.6508 ⁽¹¹⁾	426,655	D
Common Stock \$.01 par value	06/21/2018	S ⁽⁶⁾	24,497 ⁽⁶⁾	D	\$ 439.7387 ⁽¹²⁾	402,168	D
Common Stock \$.01 par value	06/21/2018	S ⁽⁶⁾	6,696 ⁽⁶⁾	D	\$ 440.6038 ⁽¹³⁾	395,472	D
Common Stock \$.01 par value	06/21/2018	S ⁽⁶⁾	3,634 ⁽⁶⁾	D	\$ 441.7512 ⁽¹⁴⁾	391,838	D
Common Stock \$.01 par value	06/21/2018	S ⁽⁶⁾	1,293 ⁽⁶⁾	D	\$ 442.7477 ⁽¹⁵⁾	390,545	D
Common Stock \$.01 par value	06/21/2018	S ⁽⁶⁾	3,300 ⁽⁶⁾	D	\$ 443.7724 ⁽¹⁶⁾	387,245	D
Common Stock \$.01 par value	06/21/2018	S ⁽⁶⁾	4,325 ⁽⁶⁾	D	\$ 445.1681 ⁽¹⁷⁾	382,920	D
Common Stock \$.01 par value	06/21/2018	S ⁽⁶⁾	1,605 ⁽⁶⁾	D	\$ 445.9237 ⁽¹⁸⁾	381,315	D
Common Stock \$.01 par value	06/21/2018	S ⁽⁶⁾	4,165 ⁽⁶⁾	D	\$ 447.0059 ⁽¹⁹⁾	377,150	D
	06/21/2018	S ⁽⁶⁾	1,000 ⁽⁶⁾	D	\$ 450.06	376,150	D

Common
Stock
\$.01 par
value

Common
Stock
\$.01 par
value

Common
Stock
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value

Common
Stock
\$.01 par
value

Common
Stock
\$.01 par
value

Common
Stock
\$.01 par
value

46,654

I

By Trust
- A

73,745

I

By Trust
- B

9,000

I

By Trust
- C

655

I

By Trust
- D

38,560

I

By Trust
- E

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Stock Option (right to buy) ⁽²⁾	\$ 10.03	06/21/2018		M ⁽⁵⁾	105,000 ⁽⁵⁾	06/03/2011 ⁽¹⁾	06/03/2020	Common Stock	105,

Stock Option (Right to Buy) ⁽²⁾	\$ 22.44	05/22/2013 ⁽¹⁾	05/22/2022	Common Stock	0
Stock Option (Right to Buy) ⁽²⁾	\$ 23.15	05/14/2014 ⁽¹⁾	05/14/2024	Common stock	0
Stock Option (right to buy) ⁽²⁾	\$ 21.55	05/14/2015 ⁽³⁾	05/14/2024	Common Stock	0
Stock Option (right to buy) ⁽²⁾	\$ 66.25	05/13/2016 ⁽³⁾	05/13/2025	Common Stock	0
Stock Option (right to buy) ⁽⁴⁾	\$ 99.62	05/24/2017 ⁽³⁾	05/24/2026	Common Stock	0
Stock Option (right to buy) ⁽⁴⁾	\$ 134.51	05/15/2018 ⁽³⁾	05/15/2027	Common Stock	0
Stock Option (right to buy) ⁽⁴⁾	\$ 381.97	05/16/2019 ⁽³⁾	05/16/2028	Common Stock	0

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MINOGUE MICHAEL R C/O ABIOMED, INC., 22 CHERRY HILL DRIVE DANVERS, MA 01923	X		Chairman, President, CEO	

Signatures

/s/ Stephen C. McEvoy (by power of attorney) 06/25/2018

^{__}Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options become exercisable in annual 25% increments, commencing on the date shown in Table II, Column 6.
- (2) Grant to reporting person of option to buy the number of shares of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 2008 Stock Incentive Plan.
- (3) These options become exercisable in annual 33-1/3% increments, commencing on the date shown in Table II, Column 6.
- (4) Grant to reporting person of option to buy the number of shares of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 2015 Omnibus Incentive Plan.
- (5) Exercise of stock options pursuant to reporting owner's 10b5-1 plan.
- (6) Sale of common stock pursuant to reporting owner's 10b5-1 plan.
- (7) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$434.0900 and \$435.0000. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (8) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$435.1400 and \$436.0400. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (9) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$436.1800 and \$437.1700. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (10) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$437.1900 and \$438.1600. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (11) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$438.1700 and \$439.1400. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (12) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$439.1700 and \$440.1600. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (13) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$440.1700 and \$441.0800. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (14) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$441.1800 and \$442.1500. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (15) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$442.3700 and \$442.9000. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (16) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$443.4300 and \$444.0700. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (17) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$444.5400 and \$445.5100. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (18) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$445.6700 and \$446.5000. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (19) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$446.8300 and \$447.2800. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.

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request by the Commission staff, the Issuer, or a security holder of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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