Edgar Filing: AUST BRUCE - Form 4

AUST BRUG Form 4	ĴE										
December 20), 2010										
FORM	ORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB AF OMB Number:		
Check thi if no long subject to Section 1 Form 4 or		0,	BENEF		LOW	NERSHIP OF	Expires: January 20 Estimated average burden hours per				
Form 5 obligation may conti <i>See</i> Instru 1(b).	inue. Section 17	(a) of the	Public U		ding Cor	npan	y Act of	e Act of 1934, E 1935 or Section 40		0.5	
(Print or Type R	Responses)										
AUST BRUCE Syn			Symbol	Name and				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	NASDA [NDAQ	Q OMX	GROUF	P, INC	2.						
(Mor			3. Date of (Month/D) 12/13/20	-	ransaction			Director 10% Owner X Officer (give title Other (specify below) below) Executive Vice President			
				ndment, Da hth/Day/Year	-	1		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
NEW YORK	K, NY 10006							Form filed by M Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-E	Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	ecurity (Month/Day/Year) Execution Date,		n Date, if	3. Transactio Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A)	d of (D)	Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Stock, par value \$0.01 per share	12/13/2010			F	2,730	D	\$ 22.71	101,567 <u>(1)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	de of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. F Der Sec (Ins	
				Code V	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (Right to Buy)	\$ 7.35							(2)	11/15/2014	Common Stock	200,000	
Employee Stock Option (Right to Buy)	\$ 9.15							(3)	02/18/2014	Common Stock	90,000	
Employee Stock Option (Right to Buy)	\$ 19.75							(4)	03/04/2020	Common Stock	25,210	
Employee Stock Option (Right to Buy)	\$ 25.07							<u>(5)</u>	12/17/2018	Common Stock	45,528	
Employee Stock Option (Right to Buy)	\$ 35.92							<u>(6)</u>	12/13/2016	Common Stock	32,558	
Employee Stock Option (Right to Buy)	\$ 45.38							<u>(7)</u>	12/12/2017	Common Stock	22,564	

Reporting Owners

Relationships								
Director	10% Owner	Officer	Other					
		Executive Vice President						
of	12/20/2010							
		Date						
		• of 12/2	Director 10% Owner Officer Executive Vice President					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents (i) 7,000 shares of common stock acquired upon exercise of vested stock options, (ii) 55,885 shares or units of restricted stock, (1) of which 5,885 are vested, (iii) 34,534 unvested shares underlying PSUs and (iv) 4,148 shares purchased under the Employee Stock

- Purchase Plan.
- (2) Options exercisable.
- (3) Options exercisable.
- (4) Options exercisable on March 4, 2014, subject to accelerated vesting on March 4, 2013, or extension of vesting until March 4, 2015, depending on the achievement of performance goals.
- (5) Options exercisable on December 17, 2012.
- (6) Options exercisable.
- (7) Options exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.