### Edgar Filing: IMPAC MORTGAGE HOLDINGS INC - Form 4

#### IMPAC MORTGAGE HOLDINGS INC

Form 4

August 01, 2013

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

**OMB APPROVAL** 

3235-0287

Expires:

D

January 31, 2005

0.5

Estimated average burden hours per

response...

subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

if no longer

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

07/31/2013

Stock

Stock

Common

1. Name and Address of Reporting Person * RHP Trust, dated May 31, 2011			2. Issuer Name <b>and</b> Ticker or Trading Symbol IMPAC MORTGAGE HOLDINGS INC [IMH]					5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) 2532 DUPO	(First) (NOT DRIVE	Middle)	3. Date of Earliest Transaction (Month/Day/Year) 07/26/2013				Director X 10% Owner Officer (give title below) Other (specify below)			
	(Street)			ndment, Danth/Day/Year		l		6. Individual or Jo Applicable Line) _X_ Form filed by 0	•	
IRVINE, C	A 92612							Form filed by M Person	More than One Re	porting
(City)	(State)	(Zip)	Tabl	e I - Non-E	Derivative S	Securi	ties Acqu	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio any	ned n Date, if Day/Year)	3. Transactic Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	07/26/2013			P	10,000	A	\$ 10.01	810,000	D	
Common Stock	07/29/2013			P	15,534	A	\$ 10	825,534	D	
Common Stock	07/30/2013			P	32,236	A	\$ 10.09	857,770	D	

20,093 A

10.09

877,863

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

P

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Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title o	of 2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and Expiration	7. Title and	Amount
Derivati	ve Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orNumber	Date	Underlying S	Securitie
Security	or Exercise		any	Code	of	(Month/Day/Year)	(Instr. 3 and	4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		
	Derivative				Securities			
	Security				Acquired			
					(A) or			
					Disposed			
					of (D)			
					(Instr. 3,			
					4, and 5)			
								Amour
						Date Exercisable Expiration Date	Title	Numbe
				Code V	(A) (D)			Shares

Convertible

Promissory \$ 10.875 (1) Note Due 2018

04/30/2013(1) 04/30/2018(1) Common 524,

Stock

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
RHP Trust, dated May 31, 2011 2532 DUPONT DRIVE IRVINE, CA 92612		X					

## **Signatures**

/s/ Richard H. Pickup, 07/31/2013 Trustee

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

As previously reported on a Form 3 filed by Reporting Person on May 8, 2013, on April 30, 2013, Reporting Person purchased a convertible promissory note in the original principal amount of \$5,700,000 that is convertible by Reporting Person immediately upon receipt and, upon conversion of the original principal amount prior to maturity at the initial conversion price of \$10.875 per share (subject to adjustment in the event of stock splits, stock dividends and reclassifications), Reporting Person will receive 524,138 shares of common stock (subject to adjustment in the event of stock splits, stock dividends and reclassifications). The convertible promissory note is due and payable, to the extent not converted, on or before April 30, 2018.

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#### **Remarks:**

This is a late filing with respect to the transactions reported in Table I dated July 26, 2013 and July 29, 2013; pursuant to the C of Form 4, a Form 4 relating to each such transaction should have been filed within two business days following the date of su

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.