Edgar Filing: APOGEE ENTERPRISES, INC. - Form 4

| | | - | - | | | | | | | | | |
|---|--|-----------------|------------------------------------|---|------|---------------------|----------|------------------------------|--|--|---|--|
| APOGEE E Form 4 March 27, 2 | NTERPRISES, II 2015 | NC. | | | | | | | | | | |
| FORM | ЛЛ | | | | | | | | | OMB AF | PROVAL | |
| | • UNITED | STATES | | | | AND EX , D.C. 20 | | NGE CO | MMISSION | OMB Number: | 3235-0287 | |
| Check the check | ger | | | | | | | | | | January 31, 2005 | |
| subject t Section Form 4 | 16. SIAIE | SECHDETES | | | | | | | | | verage rs per 0.5 | |
| Form 5 obligation may corn <i>See</i> Insta 1(b). | ons Section 17(| (a) of the | Public U | Jtility | Hol | ding Cor | npan | • | Act of 1934, 935 or Section | | | |
| (Print or Type | Responses) | | | | | | | | | | | |
| 1. Name and A Puishys Jos | Address of Reporting seph F. | Person <u>*</u> | Symbol | | | d Ticker or | | Is | . Relationship of I ssuer | Reporting Pers | on(s) to | |
| | | | APOGEE ENTERPRISES, INC. [APOG] | | | | | IC. | (Check all applicable) | | | |
| | | | | (Month/Dav/Year) | | | | _ | _X Director 10% Owner _X Officer (give title Other (specify | | | |
| 4400 WES 520 | T 78TH STREET | , SUITE | 03/25/2 | - | , | | | b | elow) Chief Execu | below) itive Officer & | z Pres | |
| | (Street) | | 4. If Am Filed(Mo | | | ate Origina r) | ıl | А | . Individual or Joi pplicable Line) X_ Form filed by O | ne Reporting Per | rson | |
| MINNEAP | OLIS, MN 55435 | 5 | | | | | | P | Form filed by Mo erson | ore than One Re | porting | |
| (City) | (State) | (Zip) | Tal | ole I - N | on-] | Derivative | Secur | rities Acqui | red, Disposed of, | or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year) | | | Code (Instr. 3, 4 and 5) Year) (Instr. 8) (A) or | | | | D) 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common | 03/25/2015 | | | Code M | V | Amount 30,000 | (D) A | Price \$ 8.34 | 277,261 <u>(1)</u> | D | | |
| Stock | 03/23/2013 | | | 101 | | 50,000 | 11 | | 277,201 <u> </u> | D | | |
| Common Stock | 03/25/2015 | | | S <u>(2)</u> | | 30,000 | D | \$ 43.6851 (<u>3)</u> | 247,261 | D | | |
| Common Stock | 03/26/2015 | | | М | | 30,000 | A | \$ 8.34 | 277,261 | D | | |
| Common Stock | 03/26/2015 | | | S <u>(2)</u> | | 30,000 | D | \$ 43.3015 | 247,261 | D | | |

(4)

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| Common Stock | 03/27/2015 | М | 20,655 | А | \$ 8.34 | 267,916 | D | |
|-----------------|------------|--------------|--------|---|------------------|---------|---|--|
| Common Stock | 03/27/2015 | S <u>(2)</u> | 20,655 | D | \$ 42.972 (5) | 247,261 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|--|--|--------------------|---|-------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Option (Right to Buy) | \$ 8.34 | 03/25/2015 | | М | 30,000 | <u>(6)</u> | 08/22/2021 | Common Stock | 30,000 |
| Option (Right to Buy) | \$ 8.34 | 03/26/2015 | | М | 30,000 | (6) | 08/22/2021 | Common Stock | 30,000 |
| Option (Right to Buy) | \$ 8.34 | 03/27/2015 | | М | 20,655 | <u>(6)</u> | 08/22/2021 | Common Stock | 20,655 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|--------------------------------|-------|--|--|
| 1 | Director | 10% Owner | Officer | Other | | |
| Puishys Joseph F. 4400 WEST 78TH STREET SUITE 520 MINNEAPOLIS, MN 55435 | Х | | Chief Executive Officer & Pres | | | |

8 E S ()

Signatures

**Signature of

Reporting Person

/s/ Joseph F. Puishys

03/27/2015

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes restricted stock awards under the 2009 Stock Incentive Plan.
- (2) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on January 28, 2015 which was reported on a Form 8-K filed February 3, 2015.

The price reported is the weighted average sale price for the transactions reported. The prices received ranged from \$42.98 to \$44.265.(3) The Reporting Person will provide to the issuer, a security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each price within the range.

The price reported is the weighted average sale price for the transactions reported. The prices received ranged from \$42.985 to \$43.75.(4) The Reporting Person will provide to the issuer, a security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each price within the range.

The price reported is the weighted average sale price for the transactions reported. The prices received ranged from \$42.675 to \$43.27.(5) The Reporting Person will provide to the issuer, a security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each price within the range.

(6) Currently 100% exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.